



Department of the Treasury

2013 Freedom of Information Act Annual Report
to the Attorney General of the United States



MESSAGE FROM THE CHIEF FREEDOM OF INFORMATION ACT OFFICER



As required by Section 552(e)(1) of the Freedom of Information Act, I am pleased to present the Department of the Treasury's Annual FOIA Report for Fiscal Year 2013.

2013 was a year of change for Treasury's Office of Privacy, Transparency, and Records (OPTR). We are pleased to have a new Deputy Assistant Secretary for Privacy, Transparency, and Records and a new Director for FOIA and Transparency. Under their leadership, Treasury continues its tradition of leaning forward to ensure Department compliance with the requirements of the FOIA and the principles of openness and transparency.

Inquiries about this report may be directed to OPTR at (202) 622-0930 or TreasFOIA@treasury.gov. This report, as well as previous annual reports, can be found on the Department's Freedom of Information Act website at www.treasury.gov/foia/.

Nani Coloretti
Assistant Secretary for Management
Chief Freedom of Information Act Officer
Department of the Treasury



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I. BASIC INFORMATION REGARDING THIS REPORT

1. Questions regarding this report may be directed to:

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Privacy, Transparency, and Records
Department of the Treasury
(202) 622-0930 Phone
(202) 622-3895 Fax
TreasFOIA@treasury.gov

Address:
FOIA and Transparency
Department of the Treasury
Washington, DC 20220

2. This report is publicly available and can be downloaded from the Treasury website at www.treasury.gov/foia.
3. Paper copies of this report may be obtained by contacting the Office of Privacy, Transparency, and Records at the address above.

II. MAKING A FOIA REQUEST

1. The current names, addresses, and contact information for Treasury's FOIA Officers can be found on our website at www.treasury.gov/foia.

Departmental Offices (DO)
Mr. Ryan Law
FOIA and Transparency
Department of the Treasury
Washington, DC 20220
(202) 622-0930 Phone
(202) 622-3895 Fax

Alcohol and Tobacco Tax and Trade
Bureau (TTB)
Mr. Quinton Mason
1310 G Street, N.W., Box 12
Washington, DC 20005
(202) 882-9904 Phone
(202) 453-2331 Fax

Bureau of Engraving and Printing
(BEP)
Disclosure Officer
Office of the Chief Counsel
FOIA and Transparency
14th & C Streets, S.W., Room 419A
Washington, DC 20228
(202) 874-2500 Phone
(202) 874-2951 Fax

Bureau of the Fiscal Service (FS)
Ms. Cynthia Sydnor/Ms. Denise
Nelson
Disclosure Office
401 14th Street, S.W., Room 508C
Washington, DC 20227
(202) 874-5602
(202) 874-5484

Office of the Comptroller of the
Currency (OCC)
Mr. Frank Vance
Disclosure Services
400 7th Street, S.W.
Suite 3E-218, Mail Stop 6W-11
Washington, DC 20219
(202) 649-6758 Phone

Financial Crimes Enforcement
Network (FinCen)
Ms. Amanda Michanczyk
ATTN: FOIA Request
P.O. Box 39
Vienna, VA 22183
(703) 905-3537 Phone
(703) 905-5126 Fax

Internal Revenue Service (IRS)
Disclosure Manager
HQ FOIA & Program Operations
ATTN: IRS FOIA Request
HQ FOIA, Stop 211
2980 Brandywine Road
Chamblee, GA 30341
(202) 317-6475 Phone

United States Mint (Mint)
Ms. Kathleen Saunders-Mitchell
ATTN: FOIA Request
801 9th Street, N.W., 8th Floor
Washington, DC 20220
(202) 354-7600 Phone
(202) 756-6153 Fax

Treasury Inspector General for Tax
Administration (TIGTA)
Ms. Amy Jones
ATTN: FOIA Request
TIGTA Chief Counsel
1401 H Street, N.W., Suite 469
Washington, DC 20005
(202) 622-4068 Phone
(202) 622-3339 Fax

2. Brief description of why requests are not granted

The Treasury Department may not grant full access to records because of several reasons. Some records are specifically exempt from disclosure pursuant to statute, such as Bank Secrecy Act information or certain tax return information. This information falls within the scope of Exemption 3. Other records and information that are obtained from private businesses and submitted in confidence or that contain proprietary information fall within the scope of Exemption 4. Internal agency communications representing the pre-decisional process; attorney work product; or attorney-client records fall within the scope of Exemption 5. Private information obtained from individuals fall within the scope of Exemptions 6 and/or 7(C). Treasury also cites Exemption 7(E) to protect information that would disclose techniques and procedures for law enforcement investigations or prosecutions or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could be expected to risk circumvention of the law. Finally, Treasury protects information and records contained in or related to the examination or the supervision of financial institutions under the authority of Exemption 8.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Agency-specific acronyms used in this Report:

Component Abbreviation	Component Name
BEP	Bureau of Engraving and Printing
BPD	Bureau of Public Debt ¹
DO	Departmental Offices ²
FinCEN	Financial Crimes Enforcement Network
FMS	Financial Management Service ³
IRS	Internal Revenue Service
MINT	United States Mint
OCC	Office of the Comptroller of the Currency
TIGTA	Treasury Inspector General for Tax Administration
TTB	Alcohol Tobacco Tax and Trade Bureau

¹ The Bureau of the Fiscal Service (FS) was established on October 7, 2012, with the consolidation of BPD and FMS. During FY13, FS began work to consolidate BPD and FMS FOIA processing. In this report, legacy BPD and FMS statistics will be reported separately. BPD and FMS FOIA statistics will be consolidated and reported under FS in FY14.

² Departmental Offices (DO) includes the Offices of Domestic Finance, Economic Policy, the Office of Financial Research, General Counsel, International Affairs, Legislative Affairs, Management, Public Affairs, Tax Policy, Terrorism and Financial Intelligence, and the Treasurer of the United States. DO statistics in this report also include requests to the Financial Stability Oversight Council (FSOC), an entity chaired by the Secretary of the Treasury. For more information, see <http://www.treasury.gov/about/organizational-structure/offices/Pages/default.aspx>.

³ See Footnote 1.

2. Basic terms used in this Report

- a. **Administrative Appeal** – a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- b. **Average Number** – the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** – the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** – for agencies that process requests on a decentralized basis, a “component” is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** – the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** – a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** – a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a “third-party” request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., “first-party” requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act “systems of records” or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the

requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not however, include records for which the agency has received a consultation from another agency (Consultations are reported separately in Section XII of this Report).

- h. **Full Grant** – an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** – an agency decision not to release any records in response to a FOIA request because the records are exempt in their entirety under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** – the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-track processing** – a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - 1. **Expedited processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - 2. **Simple request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - 3. **Complex request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- l. **Partial Grant/Partial Denial** – in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** – a request or administrative appeal for which an agency has not taken final action in all respects.

- n. **Perfected Request** – a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** – a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** – the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** – the time period in the statute for an agency to respond to a FOIA request (ordinarily 20 working days from receipt of a "perfected" FOIA request).

3. Descriptions of the nine FOIA Exemptions:

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** information that is related solely to the internal rules and practices of an agency
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the regulation or supervision of financial institutions
- i. **Exemption 9:** geological information on wells

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
26 U.S.C. §§ 6103	Certain tax return information and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987)(26 U.S.C. 6103); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	IRS	2,776	2,866
			DO	7	
			FMS	17	
			TTB	11	
			TIGTA	55	
31 U.S.C. § 5319	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	Hulstein v. DEA, No. 10-4112, 2011 U.S. Dist. LEXIS 25788, at *7-8 (N.D. Iowa Mar. 11, 2011); Berger v. IRS, 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), aff'd on other grounds, 288 F. App'x 829 (3d Cir. 2008), cert. denied, 129 S. Ct. 2789 (U.S. 2009); Sciba v. Bd. of Governors of the Fed. Reserve Sys., No. 04-1011, 2005 WL 3201206, at *6 (D.D.C. Nov. 4, 2005).	IRS	79	263
			FinCEN	184	

26 U.S.C. §§ 6105	Certain tax return information and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).	IRS	74	74
18 U.S.C. § 701	Establishes penalties for: manufacturing, selling, or possessing "any badge, identification card, or other insignia, of the design prescribed by the head of any department or agency of the United States for use by any officer or employee thereof, or any colorable imitation thereof"; and photographing, printing, making, or executing "any engraving, photograph, print, or impression in the likeness of any such badge, identification card, or other insignia, or any colorable imitation thereof"	Jones v. IRS, No. 06-CV-322, 2008 WL 1901208, at *3-4 (W.D. Mich. Apr. 25, 2008).	IRS	29	29
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	IRS	7	7

5 U.S.C. §§ 7114(b)(4), 7132	Defining agencies' and representatives' duty to negotiate in good faith to include disclosure of certain labor relations training and guidance materials and limiting the issuance of certain subpoenas	Dubin v. Dep't of Treasury, 555 F. Supp. 408, 412 (N.D. Ga. 1981) (5 U.S.C. § 7114(b)(4)), aff'd, 697 F.2d 1093 (11th Cir. 1983) (unpublished table decision); NTEU v. OPM, No. 76- 695, slip op. at 3-4 (D.D.C. July 9, 1979) (5 U.S.C. § 7114(b)(4) and 5 U.S.C. § 7132).	IRS	4	4
41 U.S.C. § 4702	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10- 885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	IRS	4	5
			MINT	1	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component⁴	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
BEP	7	90	90	7
BPD	2	149	146	5
DO	155	941	759	337
FinCEN	0	301	300	1
FMS	46	216	240	22
IRS	761	10,990	11,035	716
MINT	7	142	145	4
OCC	76	1,816	1,822	70
TTB	3	66	68	1
TIGTA	16	329	310	35
AGENCY OVERALL	1,073	15,040	14,915	1,198

⁴ Treasury's FOIA Annual Reports for FY11 and FY12 included statistics for The Consumer Financial Protection Bureau (CFPB). CFPB will file its FOIA Annual Report independently for FY13.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
BEP	39	17	2	8	6	0	4	3	9	1	0	1	90
BPD	23	15	2	65	9	4	0	6	0	19	3	0	146
DO	88	139	21	84	49	28	40	75	88	91	52	4	759
FinCEN	8	54	172	54	0	1	0	0	8	3	0	0	300
FMS	29	70	0	19	2	56	7	1	5	45	4	2	240
IRS	3,718	3,105	189	791	20	195	69	467	1,970	57	445	9	11,035
MINT	54	7	2	30	6	3	12	5	22	1	3	0	145
OCC	1,337	103	67	80	3	52	5	10	50	66	22	27	1,822
TTB	15	20	0	10	1	9	4	2	2	0	0	5	68
TIGTA	25	96	33	0	44	11	0	17	72	0	12	0	310
AGENCY OVERALL	5,336	3,626	488	1,141	140	359	141	586	2,226	283	541	48	14,915

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
BEP	Not a FOIA Request - Subpoena	1	1
DO	FOIA Requests Aggregated	3	4
	All Correspondence Returned to Sender	1	
FMS	Referred Records Determined to be Non-Responsive	1	2
	Records were Referred in Error	1	
IRS	Litigation	9	9
OCC	Not a FOIA Request	27	27
TTB	Documents Publicly Available in Other Forum	5	5
AGENCY OVERALL			48

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BEP	0	1	0	0	13	14	0	0	1	0	0	0	0	0
BPD	0	1	0	2	1	9	0	0	0	0	0	0	0	0
DO	7	2	7	58	72	114	12	1	32	0	1	1	5	0
FinCEN	0	1	184	3	8	14	2	0	20	5	29	0	3	0
FMS	0	1	17	9	1	44	0	0	0	0	1	0	0	0
IRS	0	3	2,786	16	740	452	516	1	1,104	57	1,342	3	0	0
MINT	0	0	1	4	0	7	0	0	0	0	1	0	0	0
OCC	0	3	0	68	64	58	2	0	2	1	1	0	135	0
TTB	0	1	11	10	6	16	0	0	0	0	0	0	0	0
TIGTA	0	0	55	1	19	117	8	0	113	9	14	1	0	0
AGENCY OVERALL	7	13	3,061	171	924	845	540	2	1,272	72	1,389	5	143	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
BEP	0	2	2	0
BPD	0	4	4	0
DO	53	25	13	65
FinCEN	1	14	14	1
FMS	1	12	12	1
IRS	10	239	235	14
MINT	0	5	5	0
OCC	8 ⁵	22	27	3
TTB	0	0	0	0
TIGTA	1	35	34	2
AGENCY OVERALL	74	359	346	87

⁵ OCC submitted corrections to FY12 data. There were 12 pending appeals at OCC for the start of FY12. During FY12, OCC received 47 appeals and processed 54 appeals. There were 8 appeals pending at the end of FY12. The number of appeals pending for OCC at the start of FY13 has been corrected to 8.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
BEP	1	0	1	0	2
BPD	4	0	0	0	4
DO	6	4	1	2	13
FinCEN	12	1	0	1	14
FMS	9	2	0	1	12
IRS	229	3	3	0	235
MINT	2	3	0	0	5
OCC	9	8	2	8	27
TTB	0	0	0	0	0
TIGTA	21	13	0	0	34
AGENCY OVERALL	293	34	7	12	346

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
BEP	0	0	0	0	1	1	0	0	0	0	0	0	0	0
BPD	0	0	0	0	0	2	0	0	0	0	0	0	0	0
DO	0	0	0	5	2	0	0	0	0	0	0	0	1	0
FinCEN	0	0	12	3	0	1	0	0	4	3	5	0	0	0
FMS	0	0	0	1	0	2	0	0	0	0	0	0	0	0
IRS	0	2	83	2	12	9	5	0	1	0	5	0	0	0
MINT	0	0	0	1	1	1	0	0	0	0	0	0	0	0
OCC	0	0	0	2	6	8	0	3	3	1	0	0	9	0
TTB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TIGTA	0	0	13	0	4	31	0	0	31	1	1	0	0	0
AGENCY OVERALL	0	2	108	14	26	55	5	3	39	5	11	0	10	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in Chart Bbelow
BEP	0	0	0	0	0	0	0	0	0	0	0
BPD	0	0	0	0	0	2	0	0	0	0	0
DO	1	0	1	0	0	2	1	0	0	0	0
FinCEN	1	0	0	0	0	1	0	0	0	0	0
FMS	0	0	0	0	0	1	7	0	0	0	1
IRS	33	0	0	2	4	24	0	0	0	0	48
MINT	0	0	0	0	0	0	0	0	0	0	0
OCC	0	0	2	0	0	0	1	2	0	0	3
TTB	0	0	0	0	0	0	0	0	0	0	0
TIGTA	1	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	36	0	3	2	4	30	9	2	0	0	52

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FMS	All Records Released in Initial Response	1	1
IRS	Appealed Prior to Determination	48	48
OCC	Not a FOIA Request	2	3
	Appealed Prior to Determination	1	
AGENCY OVERALL			52

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEP	15.00	16.50	15.00	18.00
BPD	10.00	10.50	3.00	19.00
DO	24.00	34.00	1.00	101.00
FinCEN	18.00	18.00	11.00	25.00
FMS	15.00	13.00	2.00	19.00
IRS	15.00	16.00	6.00	32.00
MINT	18.00	19.80	2.00	50.00
OCC	54.00	61.00	1.00	369.00
TTB	<1	<1	<1	<1
TIGTA	17.00	16.30	9.00	18.50
AGENCY OVERALL	15.00	19.84	1.00	369.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
BEP	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
BPD	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
DO	Date of Appeal	2011-09-01	2011-08-31	2011-07-27	2011-07-26	2011-07-20	2011-07-15	2011-06-21	2010-11-30	2010-03-11	2008-06-08
	Number of Days Pending	522	523	548	549	553	558	573	712	729	1332
FinCEN	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-17
	Number of Days Pending	0	0	0	0	0	0	0	0	0	10
FMS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-30
	Number of Days Pending	0	0	0	0	0	0	0	0	0	1
IRS	Date of Appeal	2013-09-26	2013-09-26	2013-09-26	2013-09-26	2013-09-26	2013-09-26	2013-09-26	2013-09-25	2013-09-20	2013-09-20
	Number of Days Pending	3	3	3	3	3	3	3	4	7	7
MINT	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-23	2013-08-23	2011-01-19
	Number of Days Pending	0	0	0	0	0	0	0	5	25	679
TTB	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
TIGTA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-30	2013-09-30
	Number of Days Pending	0	0	0	0	0	0	0	0	1	1
AGENCY OVERALL	Date of Appeal	2011-09-01	2011-08-31	2011-07-27	2011-07-26	2011-07-20	2011-07-15	2011-06-21	2010-11-30	2010-11-03	2008-06-08
	Number of Days Pending	522	523	548	549	553	558	573	712	729	1332

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEP ⁶	3	7	2	28	15	22	20	28	0	0	0	0
BPD	0	0	0	0	66	8.5	1	69	0	0	0	0
DO	16	38	1	555	62	132.9	1	2064	21	36.6	2	88
FinCEN	1	1	<1	5	6	9	<1	24	N/A	N/A	N/A	N/A
FMS	10	12	1	160	22	32	1	177	N/A	N/A	N/A	N/A
IRS	N/A	N/A	N/A	N/A	16	20.04	<1	557	19	41.6	5	88
MINT	6	9.3	<1	20	N/A	N/A	N/A	N/A	5	5	5	5
OCC	7	10.51	<1	648	27.5	31.5	<1	108	18	19.8	<1	71
TTB	7	8.35	1	24	21	19.49	1	30	N/A	N/A	N/A	N/A
TIGTA	2	8	1	13	14	15.49	1	108	21.5	21.9	8	35
AGENCY OVERALL	5	15.68	<1	648	15	22.56	<1	2064	19	38.05	<1	88

⁶ BEP ceased all operations except essential services between December 22, 2012 and January 1, 2013 during BEP's Year-End Shutdown (YES). During YES, BEP facilities and offices are closed to perform yearly maintenance, reduce costs, and save energy. During this time, BEP was unable to receive or respond to FOIA requests. As a result, FOIA Requesters may have experienced some delay. Had the BEP been open during the YES period, more FOIA requests would have been processed within 20 working days as required by the FOIA. BEP operations resumed on January 2, 2013. A public notice of the YES Shutdown was announced in BEP's FOIA webpage at <http://moneyfactory.gov/foia.html>.

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
BEP	8	9	2	15	8	9	23	32	0	0	0	0
BPD	0	0	0	0	7.5	3.02	2	50	0	0	0	0
DO	25	39.9	1	232	72	170.5	1	2064	41	45.3	12	88
FinCEN	1	2	<1	4	5	10	<1	24	N/A	N/A	N/A	N/A
FMS	13	15	1	160	23	36	2	177	N/A	N/A	N/A	N/A
IRS	N/A	N/A	N/A	N/A	16	21.01	<1	557	86	86	84	88
MINT	6	9.3	<1	20	N/A	N/A	N/A	N/A	5	5	5	5
OCC	7	10.02	<1	648	31	35.4	<1	108	19.5	20.7	<1	52
TTB	13	11	3	19	22	22	5	30	N/A	N/A	N/A	N/A
TIGTA	N/A	N/A	N/A	N/A	20	27.5	6	108	26.5	23	8	31
AGENCY OVERALL	11	11.93	<1	648	11	14.93	<1	2064	9	67.66	<1	88

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	48	0	0	0	0	0	0	0	0	0	0	0	0	48
BPD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DO	128	43	22	7	7	4	7	0	1	1	1	0	254	475
FinCEN	196	0	0	0	0	0	0	0	0	0	0	0	0	196
FMS	184	30	3	0	0	0	0	1	0	0	0	0	0	218
IRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MINT	145	0	0	0	0	0	0	0	0	0	0	0	0	145
OCC	1,373	160	18	7	3	1	0	0	1	0	0	0	1	1,564
TTB	16	1	0	0	0	0	0	0	0	0	0	0	0	17
TIGTA	2	3	0	0	0	0	0	0	0	0	0	0	0	5
AGENCY OVERALL	2,092	237	43	14	10	5	7	1	2	1	1	0	255	2,668

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	0	42	0	0	0	0	0	0	0	0	0	0	0	42
BPD	133	4	2	4	0	0	0	0	0	0	0	0	0	143
DO	66	35	23	35	15	6	8	5	1	6	22	9	50	281
FinCEN	99	4	0	0	0	0	1	0	0	0	0	0	0	104
FMS	11	7	1	1	0	0	1	0	1	0	0	0	0	22
IRS	5,475	1,606	425	129	66	34	30	13	5	6	8	2	4	7,803
MINT	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCC	53	86	30	10	5	2	0	0	0	0	0	0	0	186
TTB	22	29	0	0	0	0	0	0	0	0	0	0	0	51
TIGTA	233	46	8	6	1	1	0	0	0	0	0	0	0	295
AGENCY OVERALL	6,092	1,859	489	185	87	43	40	18	7	12	30	11	54	8,927

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
BEP	0	0	0	0	0	0	0	0	0	0	0	0	0	0
BPD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DO	2	1	1	0	0	1	0	0	0	0	0	0	0	5
FinCEN	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FMS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IRS	3	0	0	0	2	0	0	0	0	0	0	0	0	5
MINT	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCC	47	19	5	1	0	0	0	0	0	0	0	0	0	72
TTB	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TIGTA	5	5	0	0	0	0	0	0	0	0	0	0	0	10
AGENCY OVERALL	57	25	6	1	2	1	0	0	0	0	0	0	0	92

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
BEP	1	11	11	3	4	10	0	N/A	N/A
BPD	0	N/A	N/A	5	5	9	0	N/A	N/A
DO	85	93	117.1	211	166	350.2	8	85	79.3
FinCEN	0	N/A	N/A	1	8	8	0	N/A	N/A
FMS	6	3	5	5	17	57	0	N/A	N/A
IRS	0	N/A	N/A	741	12	29.2	18	81	72.56
MINT	4	19	20	0	N/A	N/A	0	N/A	N/A
OCC	57	4	5	11	7	14	2	14	14
TTB	0	N/A	N/A	1	15	15	0	N/A	N/A
TIGTA	1	16	16	25	5	13	2	72.5	72
AGENCY OVERALL	158	66	66.49	1003	13	29.18	30	8	70.33

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
BEP	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-26	2013-09-25	2013-09-16	2013-08-28
	Number of Days Pending	0	0	0	0	0	0	2	3	10	23
BPD	Date of Receipt	N/A	N/A	N/A	N/A	N/A	2013-09-16	2013-09-16	2013-09-14	2013-09-11	2013-09-11
	Number of Days Pending	0	0	0	0	0	10	10	12	15	15
DO	Date of Receipt	2008-01-28	2007-06-04	2007-03-05	2005-10-03	2005-09-12	2005-08-08	2005-06-09	2004-02-23	2003-11-18	2003-10-30
	Number of Days Pending	1431	1593	1657	2010	2025	2049	2090	2417	2481	2493
FinCEN	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-18
	Number of Days Pending	0	0	0	0	0	0	0	0	0	9
FMS	Date of Receipt	2013-09-30	2013-09-26	2013-09-25	2013-09-16	2013-09-11	2013-09-09	2013-09-09	2013-09-05	2013-07-11	2012-07-17
	Number of Days Pending	1	2	3	10	13	15	15	17	34	204
IRS	Date of Receipt	2012-07-26	2012-07-03	2012-04-13	2012-03-15	2012-03-15	2012-03-15	2011-11-29	2011-09-29	2011-08-26	2011-06-07
	Number of Days Pending	295	311	367	388	388	388	461	501	524	581
MINT	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-18	2013-09-13	2013-09-13	2013-09-08
	Number of Days Pending	0	0	0	0	0	0	8	11	11	15
OCC	Date of Receipt	2013-09-10	2013-09-10	2013-09-10	2013-09-09	2013-09-09	2013-09-09	2013-09-06	2013-09-05	2013-08-21	2013-05-23
	Number of Days Pending	14	14	14	15	15	15	16	17	27	89
TTB	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-16
	Number of Days Pending	0	0	0	0	0	0	0	0	0	15
TIGTA	Date of Receipt	2013-09-09	2013-09-06	2013-09-03	2013-08-29	2013-08-27	2013-08-16	2013-07-02	2013-06-26	2013-06-10	2013-06-07
	Number of Days Pending	15	16	19	21	23	30	62	66	78	79
AGENCY OVERALL	Date of Receipt	2008-01-28	2007-06-04	2007-03-05	2005-10-03	2005-09-12	2005-08-08	2005-06-09	2004-02-23	2003-11-18	2003-10-30
	Number of Days Pending	1431	1593	1657	2010	2025	2049	2090	2417	2481	2493

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
BEP	0	7	4	4	7
BPD	0	0	0	0	0
DO	14	111	1	1	125
FinCEN	0	0	N/A	N/A	N/A
FMS	0	3	16	13	1
IRS	23	29	6	8.02	43
MINT	1	13	1	1	14
OCC	74	91	1	0.46	162
TTB	0	4	1	3.25	4
TIGTA	11	19	3.5	5.5	17
AGENCY OVERALL	123	277	1	2.63	316

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
BEP	4	3	9	10
BPD	2	0	10	9
DO	2	6	5	45.75
FinCEN	0	0	N/A	N/A
FMS	0	3	5	9
IRS	86	5	5	7.89
MINT	1	7	14.5	12.6
OCC	2	4	1	1.67
TTB	0	7	5	11
TIGTA	9	12	2	3.76
AGENCY OVERALL	106	47	13	10.5

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
BEP	0	5.3	5.3	\$430,186.60	\$0.00	\$430,186.60
BPD	1	0.2	1.2	\$160,000.00	\$0.00	\$160,000.00
DO	13	2.02	15.02	\$2,042,128.00	\$185,451.40	\$2,227,579.40
FinCEN	0	1.22	1.22	\$148,235.00	\$0.00	\$148,235.00
FMS	0	12	12	\$852,500.00	\$2,000.00	\$854,500.00
IRS	9	68.6	77.6	\$11,288,264.12	\$247,180.09	\$11,535,444.21
MINT	1	1	2	\$199,553.00	\$0.00	\$199,553.00
OCC	13	0	13	\$1,061,900.00	\$70,023.00	\$1,131,923.00
TTB	1	0.44	1.44	\$167,891.00	\$0.00	\$167,891.00
TIGTA	0	3.67	3.67	\$524,794.00	\$4,098.00	\$528,891.00
AGENCY OVERALL	47	90.78	137.78	\$16,875,451.72	\$508,752.49	\$17,384,203.21

X. FEES COLLECTED FOR PROCESSING FOIA REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
BEP	\$186.00	0.04%
BPD	\$12,390.70	7.74%
DO	\$12,397.70	0.61%
FinCEN	\$0.00	0.00%
FMS	\$10,337.06	1.21%
IRS	\$71,248.15	0.63%
MINT	\$94.38	0.05%
OCC	\$163,967.00	15.44%
TTB	\$2,839.00	1.69%
TIGTA	\$212.60	0.04%
AGENCY OVERALL	\$273,672.59	1.57%

XI. FOIA REGULATIONS

The U.S. Department of the Treasury FOIA Implementing Regulations are codified at 31 CFR Part 1, Subpart A, and can also be found at <http://www.treasury.gov/FOIA/Documents/foiaregs.pdf>.

The Financial Stability Oversight Council (FSOC) FOIA Implementing Regulations are codified at 12 CFR Part 1301.

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
BEP	0	0
BPD	0	0
DO	197	34
FinCEN	0	0
FMS	1	0
IRS	217	0
MINT	0	0
OCC	2	2
TTB	0	0
TIGTA	0	0
AGENCY OVERALL	417	37

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
BEP	0	0	0	0
BPD	0	0	0	0
DO	17	34	24	27
FinCEN	0	8	8	0
FMS	8	40	43	5
IRS	3	148	138	13
MINT	0	0	0	0
OCC	0	0	0	0
TTB	0	0	0	0
TIGTA	0	2	2	0
AGENCY OVERALL	28	232	215	45

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
BEP	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
BPD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
DO	Date	2013-04-30	2013-03-20	2013-02-06	2013-01-23	2012-12-27	2012-10-23	2012-10-10	2012-09-28	2012-01-25	2011-08-23
	Number of Days	111	140	169	179	196	245	254	278	429	533
FinCEN	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
FMS	Date	N/A	N/A	N/A	N/A	N/A	2013-09-27	2013-09-26	2013-09-09	2013-09-09	2013-09-05
	Number of Days	0	0	0	0	0	1	2	15	15	17
IRS	Date	2013-08-30	2013-08-29	2013-08-21	2013-08-08	2013-08-01	2013-08-01	2013-07-25	2013-07-10	2013-07-01	2013-06-26
	Number of Days	20	21	27	36	41	41	46	57	63	67
MINT	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
TTB	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
TIGTA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2013-04-30	2013-03-20	2013-02-06	2013-01-23	2012-12-27	2012-10-23	2012-10-10	2012-09-28	2012-01-25	2011-08-23
	Number of Days	111	140	169	179	196	245	254	278	429	533

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BEP	57	90	57	90
BPD	117	149	117	146
DO	1,221	941	1,297	759
FinCEN	252	301	254	300
FMS	281	216	259 ⁷	240
IRS	12,137	10,990	12,198	11,035
MINT	128	142	127	145
OCC	1,933	1,816	1,924	1,822
TTB	73	66	70	68
TIGTA	227	329	226	310
AGENCY OVERALL⁸	16,426	15,040	16,529	14,915

⁷ Corrected FMS 2012 Processed Number is 259.

⁸ FY12 received and processed are corrected to remove CFPB numbers.

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
BEP	0	0
BPD	0	0
DO	155	197
FinCEN	0	0
FMS	3	1
IRS	118	217
MINT	0	0
OCC	0	2
TTB	0	0
TIGTA	0	0
AGENCY OVERALL	276	417

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
BEP	0	2	0	2
BPD	0	4	0	4
DO	23	25	22	13
FinCEN	14	14	13	14
FMS	6	12	7	12
IRS	184	239	178	235
MINT	3	5	3	5
OCC	47	22	54	27
TTB	1	0	1	0
TIGTA	23	35	25	34
AGENCY OVERALL	301	359	303	346

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
BEP	0	0
BPD	0	0
DO	27	34
FinCEN	0	0
FMS	1	0
IRS	0	0
MINT	0	0
OCC	4	2
TTB	0	0
TIGTA	0	0
AGENCY OVERALL	32	37