

**Alcohol, Tobacco & Firearms**  
**Performance Report for FY2001**

Actual Performance Figures are in **bold**, Final Targets are in plain text, and Proposed Targets and Projected Actuals are *italicized*.  
Targets which were not met are shown in red.

**Mission:** The Bureau of Alcohol, Tobacco and Firearms (ATF) is a law enforcement organization within the United States Department of the Treasury with a unique combination of responsibilities dedicated to reducing violent crime, collecting revenue, and protecting the public.

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**Budget Activity:** Reduce Violent Crime

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**Performance Goal:**

1. Deny criminal access to firearms.
2. Safeguard the public from arson and explosives incidents.
3. Remove violent offenders from our communities.
4. Prevent violence through community outreach.

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Crimes related costs avoided (\$ in billions)	\$1.05	\$1.56	\$2.12	\$2.54

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View Definition and Validation Number of future crimes avoided	542,560	743,706	960,000	1,223,000
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View Definition and Validation Number of firearms trace requests	209,126	209,369	215,000	232,272
		View Explanation		

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View Definition and Validation Average trace response time (in calendar days)	11.4	10.2	10	12.8
				View Explanation

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[View Definition and Validation](#)

Number of persons trained in Integrated  
Violence Reduction Strategy (IVRS)

6,000

**6,839**

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[View Definition and Validation](#)

National Response Teams (NRT) customer  
satisfaction rating

90%

**97%**

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**Budget Activity:** Collect The Revenue

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**Performance Goal:**

1. Reduce taxpayer burden and improve service
2. Collect all the revenue that is rightfully due
3. Prevent tax evasion and other criminal conduct in the regulated industries

<u>Performance Measure(s):</u>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
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View Definition and Validation

Taxes/fees collected from alcohol, tobacco, firearms and explosives industries (\$ in billions)

**\$12.1**

**\$14.1**

\$13.6

**\$14**

View Definition and Validation

Taxes and fees collected per dollar of collection expense

**\$193**

**\$207**

\$200

**\$272**

View  
Explanation

View Definition and Validation

Percent of entities filing electronically

0%

**0%**

**Budget Activity:** Protect The Public

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**Performance Goal:**

1. Ensure the integrity of the products, people and companies in the marketplace
2. Ensure compliance with laws and regulations through education, inspection, and investigation
3. Inform the public

<b><u>Performance Measure(s):</u></b>	<b>FY1999 Actual</b>	<b>FY2000 Actual</b>	<b>FY2001 Plan</b>	<b>FY2001 Actual</b>
View Definition and Validation Number of corrections made to unsafe conditions and product deficiencies reported to ATF or discovered during ATF inspections	923	1,119	850	1,209
View Definition and Validation Number of industry seminars held on ATF-regulated commodities	229	175	175	195

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**Performance Measure**

Crimes related costs avoided (\$ in billions)

**Definition and Validation**

Definition: The estimated costs saved by incarcerating armed career criminals and firearms traffickers.

Verification and Validation: Data for this measure is captured from monthly reports submitted by ATF's Field Divisions, as well as from automated Criminal Enforcement Management Information Systems.

Data Accuracy: Reasonably Accuracy

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**Performance Measure**

Number of future crimes avoided

**Definition and Validation**

Definition: The projected number of firearms crimes avoided by incarcerating armed career criminals and firearms traffickers. Crimes avoided are projected over the length of the defendant's sentences.

Verification and Validation: Data for this measure is captured from monthly reports submitted by ATF Field Divisions, as well as from automated Criminal Enforcement Management Information Systems.

Data Accuracy: Reasonably Accuracy

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**Performance Measure**

Number of firearms trace requests

**Definition and Validation**

Definition: The number of firearms trace requests submitted to ATF during the fiscal year.

Data Accuracy: Reasonably Accuracy

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**Performance Measure**

Average trace response time (in calendar days)

**Definition and Validation**

Definition: The average number of calendar days it takes ATF to complete a firearm trace request from the time the search is entered into the tracing system to the final disposition.

Data Accuracy: Reasonably Accuracy

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**Performance Measure**

Number of persons trained in Integrated Violence Reduction Strategy (IVRS)

**Definition and Validation**

Definition: This measure reflects the number of non-ATF personnel trained in ATF's Integrated Violence Reduction Strategy, including training in areas of Firearms, Arson and Explosives.

Verification and Validation: Data for this measure is captured from quarterly training reports received from ATF Field Division and Headquarters directorates. Reports are consolidated by ATF Office of Training and Professional Development.

Data Accuracy: Reasonable Accuracy

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**Performance Measure**

National Response Teams (NRT) customer satisfaction rating

**Definition and Validation**

Definition: This measure reflects customer satisfaction with the Nation Response Team deployments to arson and explosive incidents based on written on survey results.

Verification and Validation: Data for this measure is obtained through customer surveys distributed from ATF Headquarters following the closeout of each NRT activation. Surveys are provided to the head official of the agency most prominent in the investigation. Results are tabulated for an overall rating to NRT responses for the year.

Data Accuracy: Reasonable Accuracy

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**Performance Measure**

Taxes/fees collected from alcohol, tobacco, firearms and explosives industries (\$ in billions)

**Definition and Validation**

Definition: This includes revenue collected through alcohol, tobacco, firearms and ammunition excise taxes, firearms and explosive license/permit fees, transfer taxes and special occupation taxes.

Verification and Validation: Data for this measure is obtained through tax systems at the National Revenue Center.

Data Accuracy: Reasonable Accuracy

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**Performance Measure**

Taxes and fees collected per dollar of collection expense

**Definition and Validation**

Definition: The amount of taxes and fees collected divided by the amount of resources expended to collect such taxes and fees.

Validation: Data for this measure is obtained through tax systems at the National Revenue Center and the net cost of operations for "Collect the Revenue" activities.

Data Accuracy: Reasonable Accuracy

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**Performance Measure**

Percent of entities filing electronically

**Definition and Validation**

Definition: The percent of alcohol, firearms, and tobacco customers and taxpayers that use electronic filing instead of paper filing.

Verification and Validation: Data for this measure will be collected thru tax systems at the National Revenue System.

Data Accuracy: Reasonable Accuracy

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**Performance Measure**

Number of corrections made to unsafe conditions and product deficiencies reported to ATF or discovered during ATF inspections

**Definition and Validation**

Definition: Tracks the number of corrections made to unsafe conditions found in the explosives and alcohol industries, either reported to ATF or found during inspection activities.

Verification and Validation: Data for this measure is collected by the ATF Public Safety Branch and is obtained through quarterly explosives tracking reports from ATF Field Divisions on the results of explosive inspections.

Data Accuracy: Reasonable Accuracy

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### **Performance Measure**

Number of industry seminars held on ATF-regulated commodities

### **Definition and Validation**

Definition: The number of seminars conducted with industry members, law enforcement and the public on the laws and regulations ATF enforces.

Verification and Validation: Information is obtained from each Field Division through retrieval of data from the N-SPECT system, as well as reports generated from Headquarters components which conduct seminars.

Data Accuracy: Reasonable Accuracy

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**Performance Measure:** Number of firearms trace requests

**Explanation:FY2000**

The National Tracing Center explanation for the straight line duplication of FY 99 trace results is that the expected increase in state and local participation in comprehensive crime gun tracing did not occur. Another contributing factor is the declining rate of firearms crime across the Nation.

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**Performance Measure:** Average trace response time (in calendar days)

**Explanation:FY2001**

The increase in the average trace time is due to a 10 percent increase in the number of traces submitted to the National Tracing Center, a two percent increase in the number of traces requiring FFL Out-of-Business record checks, and modifications to our procedures to improve data quality.

STEPS TAKEN FOR FY 2002: Streamlining the data entry process, reorganizing personnel, and redesigning the current trace process in conjunction with a contracted efficiency expert; and developing key technologies anticipated to further reduce trace time.

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**Performance Measure:** Taxes and fees collected per dollar of collection expense

**Explanation:FY2001**

FMD calculates this ratio using numbers from two of ATF's audited financial statements, the Statement of Custodial Activity and the Statement of Net Cost. Each year, FMD prepares the Allocation Method for the Statement of Net Cost, which is a document that describes how the costs are allocated amongst ATF's three Activities. Using this Allocation Method, FMD directly traces/allocates the program costs into Reduce Violent Crime, Protect the Public, Collect the Revenue, or Costs not Assigned to Programs. Currently, FMD only allocates these costs at the end of the fiscal year.