

Exhibit 300 (BY2010)

PART ONE

OVERVIEW

1. Date of Submission:
2009-04-10
2. Agency:
015
3. Bureau:
35
4. Name of this Capital Asset:
GAISS
5. Unique Project Identifier:
015-35-01-01-01-1007-00
6. What kind of investment will this be in FY2010?
Mixed Life Cycle
7. What was the first budget year this investment was submitted to OMB?
FY2008

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

Government Agency Investment Services (GAIS), one of the Bureau's primary Lines of Business (LOB), is responsible for the accounting of the Federal Investments, Special Purpose Securities, and Loans Receivable programs. In July 2005, Public Debt management announced a strategic direction to reduce the number of systems used to support GAIS into a single investment. Through systems reduction, Public Debt will streamline the diversity of technology involved in supporting this business line. Additionally, this effort will allow us to consolidate and standardize the internal controls over processes common to all GAIS programs. The control environment consists of 18 processes that will be transformed into 6 standardized processes. Listed below are the six processes common to all GAIS programs that will be consolidated into a single environment: Funds Management • reconciling investment activity to increases/decreases in funds Investment Accounting • calculating amortization and interest accruals • calculating accounts receivable and accounts payable • generating payment information Standard Reporting • generating common accounting reports including periodic investment statements of account Customer Interface • allowing investment activity to be managed by on-line customers Account Maintenance • ensuring proper management of user access/security • managing account information Enhanced Reporting • creating customized accounting reports that will further the customer's understanding of their investment activity The system consolidation strategy involves the aforementioned core business functions residing in a single off-the-shelf solution. Public Debt will use a commercially available solution to perform these business functions with all modifications/enhancements being performed via coordination with the vendor. Consolidating the systems that house GAIS data into a single, integrated control environment, GAISS, will provide one location for all investment activities. Ultimately, BPD will standardize system, business, and data elements; lower operational risks/costs; and provide more timely and accurate data. The GAISS investment supports a mission critical function of Public Debt. GAISS houses all debt accounting and individual fund ownership records of the Federal Investment program, as well as the Special Purpose Securities program which accounts for 47% of the total public debt outstanding.

9. Did the Agency's Executive/Investment Committee approve this request?
yes
- 9.a. If "yes," what was the date of this approval?
2008-05-13
10. Did the Program/Project Manager review this Exhibit?
yes

11. Program/Project Manager Name:

Send FOIA requests to the following address:
Division of Administrative Services
Avery 4A, Disclosure Officer
Department of the Treasury
Bureau of the Public Debt
200 Third Street
Parkersburg, WV 26106-5312
Fax request to: 304-480-8188

Program/Project Manager Phone:

Program/Project Manager Email:

11.a. What is the current FAC-P/PM certification level of the project/program manager?

Senior/Expert/DAWIA-Level 3

11.b. When was the Program/Project Manager Assigned?

2006-12-01

11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

2008-09-08

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

yes

12.a. Will this investment include electronic assets (including computers)?

yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

13. Does this investment directly support one of the PMA initiatives?

yes

If yes, select the initiatives that apply:

Expanded E-Government

Financial Performance

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

EGov: Over 97% of GAIS Federal, State, and Local govt. customers effectively manage their accounts through submitting transactions, retrieving acct balances, and viewing accurate payment information electronically via GAISS. FP: Provides timely reporting of A/R & A/P info in compliance with financial and congressional laws. Provides centralized amortization, interest accrual info government-wide, and generates Special Purpose Securities payment information in a timely, accurate manner.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

yes

14.a. If yes, does this investment address a weakness found during the PART review?

no

14.b. If yes, what is the name of the PARTed program?

10001116 - Administering the Public Debt

14.c. If yes, what rating did the PART receive?

Effective

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?

yes

19. Is this a financial management system?

yes

19.a. If yes, does this investment address a FFMIA compliance area?

yes

19.a.1. If yes, which compliance area:

Financial System Requirements, Federal Accounting Standards, and Standard General Ledger at the transaction level.

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

Government Agency Investment Services System - GAISS

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	5
Software	6
Services	86
Other	3

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

yes

22. Contact information of individual responsible for privacy related questions.

Name

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Avery 4A, Disclosure Officer

Department of the Treasury

Bureau of the Public Debt

200 Third Street

Parkersburg, WV 26106-5312

Fax request to: 304-480-8188

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

Cost Type	Py-1 & Earlier -2007	PY 2008	CY 2009	BY 2010
Planning Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition Budgetary Resources	0.454	1.361	2.555	0.618
Maintenance Budgetary Resources	1.852	1.815	1.720	1.736
Government FTE Cost	3.068	2.528	2.083	2.147
# of FTEs	22	17	13	17

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes.

GAISS requested a baseline change request (BCR) in April 2008 to reflect additional project requirements and increased scope. The result is an overall contractor cost of \$0.491. This increase in dollars will extend DME consulting services and enhancements, as well as reallocate costs from the current O&M to the new DME milestones. This represents an increase in DME of \$4.299. There is also a decrease in contractor O&M of \$3.808. The government costs will remain the same. Although there is an overall increase in contractor costs, this investment is still the lowest cost alternative.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	100% through Q4
2	2007	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting	85	110	114 through Q4

					for investment activity on a quarterly basis. There are 219 possible accounts.			
3	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	100% through Q4
4	2007	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Calculate the Federal funds investments cost per transaction for accurate financial reporting on a quarterly basis. (\$ per item)	65	65	\$59.93 through Q4
5	2007	Effectively Managed U.S. Government Finances	Technology	Service Availability	% of Application Availability During Published Business Hours.	100	100	100.00% through Q4
6	2008	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	100.00% through Q4
7	2008	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	114	153	158 through Q4
8	2008	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	100.00% through Q4
9	2008	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single,	15	13	13 through Q4

					integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.			
10	2008	Effectively Managed U.S. Government Finances	Technology	Service Availability	% of Application Availability During Published Business Hours.	100	100	99.89% through Q4
11	2009	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
12	2009	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	158	197	To Be Determined
13	2009	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
14	2009	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	13	13	To Be Determined
15	2009	Effectively Managed U.S. Government Finances	Technology	Service Availability	% of Application Availability During Published Business Hours.	99.89	100	To Be Determined

16	2010	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
17	2010	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	197	219	To Be Determined
18	2010	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
19	2010	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	13	8	To Be Determined
20	2010	Effectively Managed U.S. Government Finances	Technology	Service Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined
21	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
22	2011	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting	219	219	To Be Determined

					for investment activity on a quarterly basis. There are 219 possible accounts.			
23	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
24	2011	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of separate control processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.	8	8	To Be Determined
25	2011	Effectively Managed U.S. Government Finances	Technology	Service Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined
26	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Asset and Liability Management	% of interest/principal payments for Federal Investments made accurately.	100	100	To Be Determined
27	2012	Effectively Managed U.S. Government Finances	Customer Results	Service Availability	Total number of accounts for which Public Debt is performing centralized SF224 reporting for investment activity on a quarterly basis. There are 219 possible accounts.	219	219	To Be Determined
28	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Reporting and Information	% of Federal Investment transactions processed timely.	100	100	To Be Determined
29	2012	Effectively Managed	Processes and Activities	Financial Management	Number of separate control	8	6	To Be Determined

		U.S. Government Finances			processes used to support GAIS activity. Through the system consolidation effort, GAIS will live in a single, integrated environment by 2012. At its completion the 18 processes that support GAIS will be reduced to 6.			
30	2012	Effectively Managed U.S. Government Finances	Technology	Availability	% of Application Availability During Published Business Hours.	100	100	To Be Determined

Enterprise Architecture

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?
yes

2. Is this investment included in the agency's EA Transition Strategy?
yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

The entries for Invest One (UPI 015-35-01-14-01-1001-00-407-187) and Special Purpose Securities System (SPSS) (UPI 015-35-01-14-01-1006-00-407-187) referred to in item #1 above have been consolidated into one investment named GAISS (UPI 015-35-01-01-01-1007-00-402-128).

3. Is this investment identified in a completed (contains a target architecture) and approved segment architecture?
no

3.a. If yes, provide the six digit code corresponding to the agency segment architecture. The segment architecture codes are maintained by the agency Chief Architect.
128-000

4. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Portfolio Management	Supports the administration of investments held by an organization. This includes the managing of assets, monitoring investment activities, and allocation of funds accordingly.	Investment Management	Portfolio Management			No Reuse	75
2	Billing and Accounting	Supports the charging, collection and reporting of an organization's accounts.	Financial Management	Billing and Accounting			No Reuse	12
3	Internal Controls	Supports the methods and procedures used by BPD to safeguard its assets, produces accurate accounting data and reports, contributes to efficient operations, and encourages staff to adhere to management policies and mission requirements.	Financial Management	Internal Controls			No Reuse	12

5. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Billing and Accounting	Service Access and Delivery	Access Channels	Collaboration / Communications	
2	Billing and Accounting	Service Access and Delivery	Access Channels	Web Browser	
3	Billing and Accounting	Service Access and Delivery	Service Transport	Service Transport	
4	Billing and Accounting	Service Access and Delivery	Service Transport	Supporting Network Services	
5	Portfolio Management	Service Access and Delivery	Service Requirements	Legislative / Compliance	

6	Portfolio Management	Service Access and Delivery	Service Requirements	Hosting	
7	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Independent Platform	
8	Billing and Accounting	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
9	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Web Servers	
10	Portfolio Management	Service Interface and Integration	Integration	Middleware	
11	Portfolio Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
12	Portfolio Management	Service Platform and Infrastructure	Software Engineering	Test Management	
13	Portfolio Management	Service Platform and Infrastructure	Software Engineering	Modeling	
14	Billing and Accounting	Service Platform and Infrastructure	Database / Storage	Database	
15	Portfolio Management	Service Platform and Infrastructure	Database / Storage	Storage	
16	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
17	Billing and Accounting	Component Framework	User Presentation / Interface	Static Display	
18	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Wide Area Network (WAN)	
19	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
20	Portfolio Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
21	Billing and Accounting	Component Framework	Security	Certificates / Digital Signatures	
22	Portfolio Management	Component Framework	User Presentation / Interface	Content Rendering	
23	Internal Controls	Component Framework	Security	Certificates / Digital Signatures	
24	Internal Controls	Service Access and Delivery	Service Requirements	Legislative / Compliance	
25	Portfolio Management	Component Framework	Security	Certificates / Digital Signatures	

6. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

6.a. If yes, please describe.

Yes. Portions of GAISS will leverage existing components and/or applications across the Government. The Invest One system uses the ASAP disbursement system operated by FMS and the PARS system at Public Debt with online automated data feeds, as well as Ca\$hlink II and IPAC. There are additional interfaces with other less visible government entities. The flexibility of Invest One has allowed for an interface to the Government-wide Accounting System. The investment accounting services that Public Debt offers to agencies can greatly reduce their operating costs. Invest One delivers those services via the Internet with FedInvest. Invest One has the potential of being a system that 70 federal agencies leverage for their SGL accounting systems, across the government for investment accounting.

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-09-30

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

Investment risks are adjusted for cost and schedule by utilizing flexible start and end dates of investment milestones and by correlating planned costs to the investment DME milestones. This flexibility enables the investment to adjust the critical start and end dates of tasks and milestones, along with the associated life-cycle costs. This approach allows time for satisfactory rework, if required and final testing of each completed module prior to implementation or early commencement of follow-on tasks due to early completion of prerequisite tasks. The risks associated with GAISS's planned enhancements are mitigated by the use of a Rapid Application Development (RAD) methodology technique that allows completion of only a specified amount of work in a pre-determined amount of time, given a fixed amount of funding. This incremental, phased approach minimizes investment risks, while the project plan and baseline reflect risk-adjusted cost estimates and schedule goals.

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?

yes

2. Is the CV% or SV% greater than $\pm 10\%$?

yes

2.a. If yes, was it the?

CV

2.b. If yes, explain the variance.

The variance occurred on the Contractor DME milestone in FY2008. The original baseline was developed in 2006, when planning for the system consolidation was in its infancy. In managing the investment we recognized the changes to scope and requirements would cause additional cost. Since the original baseline was set, changes to project requirements and scope such as complexities for the Federal Investments and Special Purpose Securities programs are the reasons for the increase in contractor costs. Due to the corrective action plan, FY2009 open milestones are currently within the +-10% tolerance range for budget and schedule.

2.c. If yes, what corrective actions are being taken?

We are working closely with the contractor to ensure the project stays on schedule and on budget. The project team conducts weekly meetings with the contractor, monitors the monthly actual expenses, and maintains communication with management concerning the status of the project. GAISS requested and received additional budget authority from the Public Debt Executive Board. A formal baseline change request was submitted in April 2008, and approved by Treasury in May 2008, to reflect the contract modification for the new contractor costs. The baseline change request brings our OMB-300 baseline in line with our approved budget authority for this investment. The baseline change request form provides additional detail on the changes to requirements and scope. The baseline change request only changed future milestones. The current DME variance is on closed milestones from FY2008. The baseline change request only changed future milestones, which included the last four months of FY2008. Therefore, the increased work from the change in scope and requirements created a variance for the entire year. We do not expect any variances on open milestones in FY2009 because we are working with the correct baseline. The GAISS project team continues to maintain close supervision of the investment to ensure minimal impact to cost and schedule in the future.

3. Has the investment re-baselined during the past fiscal year?

yes

3.a. If yes, when was it approved by the agency head?

2008-05-22