

Exhibit 300 (BY2010)

PART ONE

OVERVIEW

1. Date of Submission:
2009-04-10

2. Agency:
015

3. Bureau:
10

4. Name of this Capital Asset:
PACER

5. Unique Project Identifier:
015-10-01-14-01-1440-00

6. What kind of investment will this be in FY2010?
Operations and Maintenance

7. What was the first budget year this investment was submitted to OMB?
FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

PACER On-Line (POL) was initiated in fiscal year 1997 to automate the claims and accounting processes for FMS' disbursing function. As a result of the Debt Collection Act of 1996, the original PACER initiative, (Payments, Accounting, Claims and Enhanced Reconciliation) was rescoped and renamed POL. The payments and reconciliation modules were removed from the overall scope. POL was broken into two modules, the first provided users with the capability to view information about payments that were issued, request claims against those payments and view paid check images. The second module replaced the legacy claims system at the Regional Finance Centers and automated the Regional Disbursing Officer's accounting functions. Part of the investment includes the Digital Check Imaging Replacement (DCIR) system which is how users view check images through POL. PACER closes agency performance gaps by centralizing and modernizing the Regional Finance Centers' claims systems, automating the Regional Disbursing Officers accounting processes and allowing agencies to view detail information about their payments as well as viewing images of paid checks. POL was reviewed and approved by the FMS Investment Review Board and subsequently the FMS Executive Board. The POL investment was fully implemented during the 1st quarter of FY 2003. The POL investment is in the Steady State phase of the FMS Capital Planning and Investment Control (CPIC) process.

9. Did the Agency's Executive/Investment Committee approve this request?
yes

9.a. If "yes," what was the date of this approval?
2007-08-16

10. Did the Program/Project Manager review this Exhibit?
yes

11. Program/Project Manager Name:

Program/Project Manager Phone:

Program/Project Manager Email:

11.a. What is the current FAC-P/PM certification level of the project/program manager?
Senior/Expert/DAWIA-Level 3

11.b. When was the Program/Project Manager Assigned?
2007-04-02

11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?
2008-09-08

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

no

12.a. Will this investment include electronic assets (including computers)?

yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

13. Does this investment directly support one of the PMA initiatives?

yes

If yes, select the initiatives that apply:

Expanded E-Government

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

One of the PMA Expanded E-Government initiatives is to provide taxpayers with real time status of their refunds via the Internet. PACER is the system of record for all payments issued by the Financial Management Service (FMS). In addition, the PACER database serves as a data warehouse, supplying numerous agencies, systems and applications real time payment data. The IRS CADE application uses the PACER data warehouse to provide taxpayers with refund payment information via the Internet.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

yes

14.a. If yes, does this investment address a weakness found during the PART review?

no

14.b. If yes, what is the name of the PARTed program?

10004102 - Financial Management Service Payments

14.c. If yes, what rating did the PART receive?

Effective

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?

yes

19. Is this a financial management system?

yes

19.a. If yes, does this investment address a FFIA compliance area?

no

19.a.2. If no, what does it address?

FMS mission critical payment, claims and accounting processes.

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

Payments, Claims and Enhanced Reconciliation.

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
Hardware	0
Software	0
Services	19
Other	81

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

FMS Senior Official for Privacy

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

24. Does this investment directly support one of the GAO High Risk Areas?

No

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

Cost Type	Py-1 & Earlier -2007	PY 2008	CY 2009	BY 2010
Planning Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000	0.000
Maintenance Budgetary Resources	21.191	3.814	3.938	4.066
Government FTE Cost	5.019	0.911	0.938	0.966
# of FTEs	11	11	11	11

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module,

milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Payments	% of Regional Financial Centers Monthly Statements of Accountability (1219s) for payments/disbursements submitted by the first business day of the Month	100%	100%	100% end of FY 07
2	2007	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	99.5 % end of FY 07
3	2007	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	99%	99.2% end of FY 07
4	2007	Preserve the Integrity of Financial Systems	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	100% end of FY07
5	2008	Effectively Managed U.S. Government Finances	Mission and Business Results	Payments	% of Regional Financial Centers Monthly Statements of Accountability (1219s) for payments/disbursements submitted	100%	100%	100% end of 2nd quarter 08
6	2008	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	98.9% end of 2nd quarter 08
7	2008	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	99%	100% end of 2nd quarter 08
8	2008	Preserve the Integrity of Financial Systems	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	100% end of 2nd quarter 08
9	2009	Effectively Managed U.S. Government	Mission and Business Results	Central Fiscal Operations	% of Regional Financial Centers Monthly Statements of	100%	100%	update 1st quarter

		Finances			Accountability (1219s) for payments/disbursements submitted			09
10	2009	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	update 1st quarter 09
11	2009	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	99%	update 1st quarter 09
12	2009	Preserve the Integrity of Financial Systems	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	update 1st quarter 09
13	2010	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	% of Regional Financial Centers Monthly Statements of Accountability (1219s) for payments/disbursements submitted	100%	100%	update 1st quarter 10
14	2010	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	update 1st quarter 10
15	2010	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	99%	update 1st quarter 10
16	2010	Preserve the Integrity of Financial Systems	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	update 1st quarter 10
17	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	% of Regional Financial Centers Monthly Statements of Accountability (1219s) for payments/disbursements submitted	100%	100%	update 1st quarter 11
18	2011	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	update 1st quarter 11
19	2011	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	99%	update 1st quarter 11
20	2011	Preserve the Integrity of Financial Systems	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	update 1st quarter 11
21	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	% of Regional Financial Centers Monthly Statements of Accountability (1219s)	100%	100%	update 1st quarter 12

					for payments/disbursements submitted			
22	2012	Management and Organizational Excellence	Customer Results	Service Efficiency	% of message alerts responded within 4 hours	98%	99%	update 1st quarter 12
23	2012	Management and Organizational Excellence	Processes and Activities	Timeliness	% of batch claims files processed within one business day of receipt	98%	100%	update 1st quarter 12
24	2012	Effectively Managed U.S. Government Finances	Technology	Reliability	% of program/software changes/fixes delivered by estimated due date	100%	100%	update 1st quarter 12

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2008-07-29

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

yes

1.c. If yes, describe any significant changes:

The plan has been put into a new format, following FMS template.

COST & SCHEDULE

1. Was operational analysis conducted?

yes

1.a. If yes, provide the date the analysis was completed.

2007-10-17

What were the results of your operational analysis?

The PACER operational analysis confirms that the investment continues to meet the strategic and business goals of the organization. PACER-On-Line Database is the System of Record for all Federal government payments that are disbursed through the Treasury. Stakeholders include the FMS Regional Financial Centers, IRS, Social Security, Veterans Administration, OPM, and others. PACER provides real time information to FMS customers related to electronic and check payments disbursed by FMS and performs accounting and claims operations. The PACER Computer Science Contract continues to meet a pre-established cost and schedule baseline. PACER reports EVM metrics well within the 10% threshold for both contractor and FMS FTE support. All performance goals are met within the agreed time frame for message alerts or customer requested changes/corrections. PACER investment is identified in the Central Fiscal Operations Segment Architecture Roadmap and is represented in both the payments and claims segments for the functionality it provides. PACER distributed a customer survey to the Regional Financial Center Deputy Directors since the majority of functionality was designed to support the daily operations of the centers. This analysis and customer survey focused on how well PACER supports the customer and how well it is delivering the goods and services it was designed to deliver. It asked each center to respond to their level of satisfaction in the following 6 areas; ease of use, functionality provided, that results are produced in a timely manner, system security, system availability and that output is accurate and correct. The Deputy Directors have responded on behalf of their centers and gave an above satisfactory ranking to all 6 areas of support that were included in the survey.