

Exhibit 300 (BY2010)

PART ONE

OVERVIEW

1. Date of Submission:
2009-04-10

2. Agency:
015

3. Bureau:
10

4. Name of this Capital Asset:
Pay.gov

5. Unique Project Identifier:
015-10-01-14-01-1210-00

6. What kind of investment will this be in FY2010?
Mixed Life Cycle

7. What was the first budget year this investment was submitted to OMB?
FY2004

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.

Pay.gov is a Government-wide transaction portal managed by the U.S. Treasury Department's Financial Management Service (FMS). Pay.gov offers a suite of electronic financial services to assist Federal agencies with their electronic collections. This suite is based on the following four cornerstones. • Collections: Pay.gov facilitates electronic collections. Through Pay.gov, end-users are able to authorize collections using direct debit (ACH) and plastic card collections (credit card & debit card). • Forms acceptance and direct billing: Pay.gov offers the service of converting an agency's forms into electronic documents that may be submitted by the public to Pay.gov. Pay.gov also offers the service of electronically presenting the bills that an agency sends to end-users. • Access control: Pay.gov provides access control to agencies to ensure that end-users may perform only authorized transactions. • Reporting: Pay.gov provides agencies with a unified reporting mechanism by which agencies are able to obtain detailed information about forms, bills, and collections processed by Pay.gov. Pay.gov directly supports the collection and deposit of funds into the Treasury on behalf of Federal agencies. This is a key component of the multi-faceted government-wide financial management mission of FMS. Moreover, investing in this project is central to achieving the overarching Treasury strategic goal of managing the Government's finances. Pay.gov directly supports two of FMS' six strategic goals: FMS Strategic Goal 3: Provide timely collection of Federal Government receipts, at the lowest cost, through an all-electronic Treasury. FMS Strategic Goal 6: Establish policies and processes to facilitate the integration of e-commerce technologies into the payments and collections infrastructure. Pay.gov meets the Government's business need of providing all Government agencies with a method to collect funds electronically, specifically over the Internet. The primary gaps addressed by the Pay.gov project include (a) Comply with legal requirements to accept forms electronically under the Government Paperwork Elimination Act (GPEA); (b) Comply with legal requirements to provide leadership concerning electronic financial services; (c) Save the public, agencies, and us a considerable amount of time and convenience; and (d) Provide the public with a higher level of service than previously possible.

9. Did the Agency's Executive/Investment Committee approve this request?
yes

9.a. If "yes," what was the date of this approval?
2007-08-16

10. Did the Program/Project Manager review this Exhibit?
yes

11. Program/Project Manager Name:

Program/Project Manager Phone:

Program/Project Manager Email:

11.a. What is the current FAC-P/PM certification level of the project/program manager?

New Program Manager

11.b. When was the Program/Project Manager Assigned?

11.c. What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

yes

12.a. Will this investment include electronic assets (including computers)?

yes

12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)

no

13. Does this investment directly support one of the PMA initiatives?

yes

If yes, select the initiatives that apply:

Expanded E-Government

Financial Performance

13.a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

PayGov (PG) supports IFP by improving the timeliness of collections through the use of web-based technologies and by re-engineering reporting processes. PG allows citizens to make payments and agencies to receive reporting over the Internet. PG supports EE-GOV by providing an easy-to-find single point of access to Govt services and is the Govt's Enterprise solution for collecting C/D cards and ACH payments over the Internet. For detailed information please see the Pay.gov PMA Analysis document.

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)?

yes

14.a. If yes, does this investment address a weakness found during the PART review?

yes

14.b. If yes, what is the name of the PARTed program?

10002232 - Financial Management Service Collections

14.c. If yes, what rating did the PART receive?

Effective

15. Is this investment for information technology?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 3

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2008 agency high risk report (per OMB memorandum M-05-23)?

yes

19. Is this a financial management system?

yes

19.a. If yes, does this investment address a FFMIA compliance area?

yes

19.a.1. If yes, which compliance area:

Financial systems requirements and accounting standards

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

The system name is Pay.gov, the system acronym is PAY.GOV

20. What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Area	Percentage
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Hardware	0
Software	0
Services	6
Other	94

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?
n/a

22. Contact information of individual responsible for privacy related questions.

Name

Phone Number

Title

Email

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

24. Does this investment directly support one of the GAO High Risk Areas?

no

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

Cost Type	Py-1 & Earlier -2007	PY 2008	CY 2009	BY 2010
Planning Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition Budgetary Resources	34.370	0.900	0.927	0.000
Maintenance Budgetary Resources	38.258	9.845	7.554	8.797
Government FTE Cost	2.082	0.545	0.569	0.573
# of FTEs	4	4	4	4

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding Measurement Area and Measurement Grouping identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

	Fiscal Year	Strategic Goal Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2007	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	\$29B collected	Increase dollar amount of money collected per fiscal year from \$29 Billion in FY06 to \$30 Billion in FY07	In FY07 Pay.gov processed \$37.9B dollars worth of transactions
2	2007	Effectively Managed U.S. Government Finances	Customer Results	New Customers and Market Penetration	Number of applications hosted	278 cashflow applications hosted	Increase the cumulative number of applications hosted from 278 in FY06 to 303 by end of FY07	As of the end of FY07, Pay.gov hosted 348 applications
3	2007	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	7.8M Transactions processed	Increase the number of transactions processed from 7.8M in FY06 to 8.5M in FY07	In FY07, Pay.gov processed 9.8M transactions
4	2007	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	The system availability goal for Pay.gov is 99.8% not including scheduled maintenance outages.	Maintain system availability of 99.8% for the FY07	In FY07 Pay.gov's system availability was 99.99%
5	2008	Effectively Managed U.S.	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars	\$37B collected	Increase the amount of money	\$48.7B were collected in

		Government Finances			collected per fiscal year		collected per fiscal year from \$37 Billion to \$40 Billion in FY08	fy 08
6	2008	Effectively Managed U.S. Government Finances	Customer Results	New Customers and Market Penetration	Number of applications hosted	348 cashflow applications hosted	Increase the cumulative number of applications hosted from 348 to 360 by end of FY08	452 cashflow applications were hosted at the end of fy 2008
7	2008	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	9.8M Transactions processed	Increase the number of transactions processed from 9.8M in FY07 to 10M in FY08	15 million transactions were processed in fy 08
8	2008	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	The system availability goal for Pay.gov is 99.8% not including scheduled maintance outages.	Maintain system availability of 99.8% at the end of FY08	The system availability was 99.9% for fy08
9	2009	Effectively Managed U.S. Government Finances	Mission and Business Results	Collections and Receivables	Amount of money in dollars collected per fiscal year	\$48B collected	Increase the amount of money collected per fiscal year from \$48 Billion to \$49 Billion in FY09	This will be measured in FY09
10	2009	Effectively Managed U.S. Government Finances	Customer Results	New Customers and Market Penetration	Number of applications hosted	452 cashflow applications hosted	Increase the cumulative number of applications hosted from 452 to 492 by end of FY09	This will be measured in FY09
11	2009	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	15M Transactions processed	Increase the number of transactions processed from 15M in FY08 to 16M in FY09	This will be measured in FY09
12	2009	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	The system availability goal for Pay.gov is 99.8% not including scheduled maintance outages.	Maintain system availability of 99.8% at the end of FY09	This will be measured in FY09
13	2010	Effectively Managed U.S. Government	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per	TBD	Increase the amount of money collected per	This will be measured in FY10

		Finances			fiscal year		fiscal year from \$43 Billion to \$51 Billion in FY10	
14	2010	Effectively Managed U.S. Government Finances	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications hosted from 492 to 530 by end of FY10	This will be measured in FY10
15	2010	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 16M in FY09 to 17M in FY10	This will be measured in FY10
16	2010	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	TBD	Maintain system availability of 99.8% at the end of FY10	This will be measured in FY10
17	2011	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	TBD	Increase the amount of money collected per fiscal year from \$51 Billion to \$53 Billion in FY11	This will be measured in FY11
18	2011	Effectively Managed U.S. Government Finances	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications hosted from 530 to 560 by end of FY11	This will be measured in FY11
19	2011	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 13M in FY11 to 18M in FY11	This will be measured in FY11
20	2011	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	TBD	Maintain system availability of 99.8% at the end of FY11	This will be measured in FY11
21	2012	Effectively Managed U.S. Government Finances	Mission and Business Results	Central Fiscal Operations	Amount of money in dollars collected per fiscal year	TBD	Increase the amount of money collected per fiscal year from \$53 Billion to \$54 Billion in FY12	This will be measured in FY12
22	2012	Effectively Managed U.S. Government	Customer Results	New Customers and Market Penetration	Number of applications hosted	TBD	Increase the cumulative number of applications	This will be measured in FY12

		Finances					hosted from 560 to 580 by end of FY12	
23	2012	Effectively Managed U.S. Government Finances	Processes and Activities	Financial Management	Number of transactions processed	TBD	Increase the number of transactions processed from 18M in FY18 to 19M in FY12	This will be measured in FY12
24	2012	Effectively Managed U.S. Government Finances	Technology	Accessibility	System Availability	TBD	Maintain system availability of 99.8% at the end of FY12	This will be measured in FY12

PART TWO

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?
yes
- 1.a. If yes, what is the date of the plan?
2008-07-10
- 1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?
3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule:

COST & SCHEDULE

1. Does the earned value management system meet the criteria in ANSI/EIA Standard 748?
yes
2. Is the CV% or SV% greater than $\pm 10\%$?
no
3. Has the investment re-baselined during the past fiscal year?
yes
- 3.a. If yes, when was it approved by the agency head?
2008-07-03