October 24, 2007

FBIIC/FSSCC Pandemic Flu Exercise

Media Briefing
FBIIC/FSSCC Pandemic Flu Exercise of 2007

- From September 24 through October 12, the Financial Banking Information Infrastructure Committee (FBIIC) and the Financial Services Sector Coordinating Council (FSSCC) conducted a pandemic flu exercise for the financial services sector in the United States.

- A total of 2775 organizations registered for the exercise.

- Exercise objectives:
  1. Enhance the understanding of systemic risks to the sector.
  2. Provide an opportunity for firms to test their pandemic plans.
  3. Examine how the effect of a pandemic flu on other critical infrastructures will impact the financial services sector.
Distribution of Exercise Registrants

- This chart shows the sectors in which the registrants conduct their primary business. Registrants were asked to check all that apply.
Geographic Distribution of Exercise Registrants

This chart shows the geographic areas in which the registrants conduct their primary business. Registrants were asked to check all that apply.

- Northeast: 18%
- Mid-Atlantic: 11%
- South: 16%
- Southwest: 13%
- Mid-West: 21%
- Mountain: 8%
- West: 13%
Revenue

- Less than 100 Million: 71%
- 100 - 500 Million: 14%
- 500 - 1 Billion: 4%
- 1 - 50 Billion: 9%
- 50 - 100 Billion: 1%
- Over 100 Billion: 1%
Does your organization have business continuity plans for a pandemic?

- Percentage of Respondents
  - No: 36.1
  - Yes: 63.9
Does your organization have Human Resources (HR) pandemic policies/plans designed to meet the needs of your workforce during a pandemic?
Based on lessons learned from the exercise, how effective are your organization’s business continuity plans for a pandemic?

- Not at all: 3.8%
- Minimally: 28%
- Moderately: 56.2%
- Very: 11.7%
- N/A: 0.4%
Did the exercise allow your organization to identify critical dependencies, gaps, and seams that warrant additional attention?

Note: Dependencies may include telecommunications, energy, transportation, information technology, and other service providers.
Will your organization initiate additional all-hazard plan refinement based upon your organization’s lessons learned during the exercise?

![Bar Chart]

- **No**: 8.9%
- **Yes**: 91.1%

Percentage of Respondents
How significantly has the closing of all schools affected your organization?

- Little to no impact: 6.6%
- Some impact: 20.5%
- Moderate impact: 35.7%
- Significant impact: 36.4%
- Unable to operate: 0.1%
- N/A: 0.6%

Response Options

Percentage of Respondents
What steps is your organization taking to ensure that it is meeting business and regulatory obligations during [the height] of the pandemic? Check all that apply.

**Response Options**

- Establish work at home capabilities (54.5%)
- Divide units and disperse (40.8%)
- Shift to unlicensed location (may require regulatory approval) (2.3%)
- Switch some functions to non-US locations (5.0%)
- Enter into agreements with other organizations (21.2%)
Did the exercise meet its stated objectives?

- **No**: 1%
- **Yes**: 99%

**Percentage of Respondents**

Response Options: No, Yes
Was this exercise useful to your organization in assessing your pandemic business planning needs?

![Bar Chart]

<table>
<thead>
<tr>
<th>Response Options</th>
<th>Percentage of Respondents</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>98.6</td>
</tr>
<tr>
<td>No</td>
<td>1.4</td>
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