

ICE

Office of
Investigations



U.S. Immigration
and Customs
Enforcement

Clark Settles

Unit Chief



Counter- Proliferation Investigations

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ICE Facts

- Created March 1, 2003
- Investigative arm within the Department of Homeland Security
- Approximately 6,000 Special Agents comprised of former Customs investigators and Immigration & Naturalization Service investigators
- Over 200 domestic investigative offices
- 50 foreign offices in 40 countries



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ICE Average Year

- Initiate over 60,000 new investigations
- Make over 25,000 arrests
- Seize nearly 650,000 pounds of narcotics and other drugs
- Seize over \$80 million of contraband and other illegal merchandise
- Respond to nearly 620,000 inquiries / calls for assistance from other federal, state and local law enforcement agencies
- Seize over \$100 million in currency and monetary instruments

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ICE Jurisdictional Authorities

- Export Enforcement / Weapons Smuggling
- Financial Crimes / Money Laundering
- Narcotics Smuggling / Trafficking
- Human Smuggling / Human Trafficking
- Commercial Trade and Fraud
- Cyber Crimes
- Worksite Enforcement
- Intellectual Property Rights
- Human Rights Violations
- Transnational Gangs
- Immigration Document / Benefits Fraud



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CPI Mission



- Prevent terrorist groups, foreign adversaries, and hostile nations from illegally obtaining sensitive U.S. technology and munitions.
- Weapons of Mass Destruction components, precursors and delivery systems
 - U.S. military technical data, hardware, small arms and defense services
 - Dual-use technical data/source code and commodities
 - Deemed exports
 - Sanctioned entities (US/UN)



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U.S. Export Control Laws

Arms Export Control Act

- Title 22 U.S.C. 2778
- Military Items, Services and Brokering

Export Administration Regulations

- Title 15 C.F.R. 730-744
- Dual Use Technology (Commercial & Military)

International Emergency Economic Powers Act

- Title 50 U.S.C. 1701
- Economic and Trade Sanctions
- Goods and Services

Outbound Smuggling

- Title 18 U.S.C. 554
- Any merchandise



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Recent Civil Administrative Settlements



• L-3 Communications and Goodrich Corp.	\$ 7,000,000	2006	26
• Boeing Company	\$ 15,000,000	2006	86
• Orbit/FR	\$ 500,000	2005	4
• DirecTV/HNS/Hughes	\$ 5,000,000	2005	56
• DirecTV (Hughes)	\$ 1,500,000	2005	--
• ITT	\$ 8,000,000	2004	95
• GM/General Dynamics	\$ 20,000,000	2004	248
• EDO Corporation	\$ 2,500,000	2003	47
• Hughes/Boeing	\$ 32,000,000	2003	123
• Raytheon Company	\$ 25,000,000	2003	26



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Read all about it: www.pmdotc.state.gov (see Compliance)

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Interdiction

- Inspections based on intelligence or target information
- Domestic and international law enforcement assistance
- Use of technology
- Work with other DHS agencies



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Investigations

- Reactive Investigations
 - Interdictions/Seizures
- Proactive Investigations
 - Source Development (Industry Outreach)
 - Informant Information
 - Undercover Investigations
 - Cooperative Defendants
 - Historical Investigations
 - Intelligence



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Project Shield America

Industry Outreach to:

- Increase public awareness of the U.S. export laws
- Obtain private sector input
- Seek cooperation from WMD manufacturing and high technology community
- A source of leads for criminal investigations
- Establish a more formal/informal liaison and continuing relationship
- Enhance U.S. National Security
- In excess of 16,700 industry outreach visits since inception in FY 2002



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Partnership Between ICE and Private Industry

- We can assist you in detecting and avoiding illegal transactions
- We can assist you in avoiding negative publicity
- We can assist you in avoiding fines, debarment, and/or imprisonment
- We can assist you in avoiding potential significant costs for legal representation resulting from a criminal or administrative proceeding

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Industry's Role in Compliance

- KNOW YOUR CUSTOMER
- IT'S YOUR BUSINESS – BE ALERT AND RECOGNIZE POTENTIAL ILLEGAL EXPORTS AND DIVERSIONS
- EXPORT MANAGEMENT TRAINING

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Red Flags

Indicators of Potential Illegal Exports

- WILLING TO PAY CASH
- NORMAL TRANSACTIONS USE LETTERS OF CREDIT
- WILLING TO PAY IN EXCESS OF MARKET VALUE
- WON'T PROVIDE END USER OR END USE INFORMATION
- END USE INFORMATION INCOMPATIBLE WITH NORMAL PRODUCT USE
- FINAL CONSIGNEE IS FREIGHT FORWARDER ETC.
- CUSTOMER IS UNFAMILIAR WITH THE PRODUCT, ITS APPLICATION, SUPPORT EQUIPMENT, PERFORMANCE INCONSISTENT WITH CUSTOMER'S NEEDS
- CUSTOMER ORDERS PRODUCTS NOT COMPATIBLE WITH THEIR BUSINESS
- CUSTOMER HAS NO BUSINESS BACKGROUND



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Red Flags

Indicators of Potential Illegal Exports

- ORDERS PLACED FROM FIRMS OR INDIVIDUALS IN COUNTRIES OTHER THAN END USE COUNTRY
- SHIPMENT VIA CIRCUITOUS OR ECONOMICALLY ILLOGICAL ROUTE
- CUSTOMER DECLINES INSTALLATION OR SERVICE CONTRACTS
- PACKING INCONSISTENT WITH SHIPPING, OVERLY SECURE PACKING TO DETER EXAMINATION, ALTERED LABELS
- SIZE/WEIGHT OF PACKAGE DOES NOT MATCH PRODUCT
- FRAGILE OR OTHER MARKINGS ARE INCONSISTENT WITH PRODUCT
- PACKAGING INCONSISTENT WITH SHIPPING MODE OR DESTINATION



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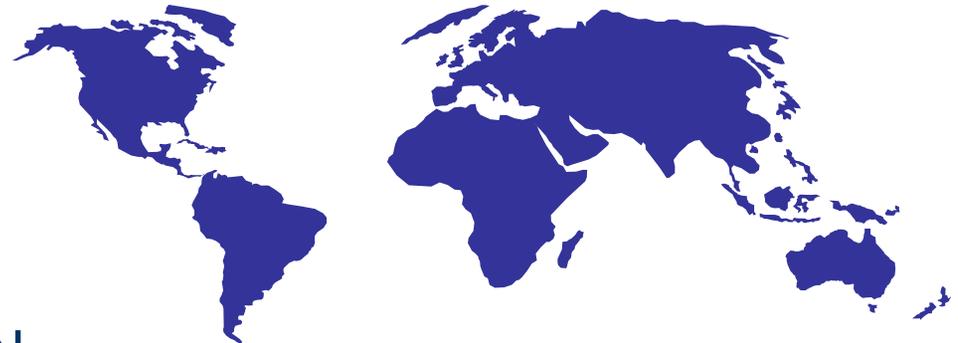
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Proliferation Threat Countries

- IRAN
- LIBYA
- CHINA
- SYRIA
- PAKISTAN
- NORTH KOREA



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Potential Transshipment Countries

- U.A.E
- SINGAPORE
- HONG KONG
- CYPRUS
- JORDAN
- MALTA
- THAILAND
- YEMEN
- SUDAN
- MALAYSIA



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How to Respond to a Suspicious Contact

- DON'T SLAM THE DOOR
- KEEP IT NEUTRAL
- OBTAIN CONTACT NUMBERS OR EMAIL
- QUICKLY CONTACT ICE SPECIAL AGENTS FOR A CONTROLLED AND MONITORED RESPONSE



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Project Shield America Contact Information

Immediately report all suspicious export inquiries
to ICE officials at:

1-866-DHS-2ICE

