



# The Office of Foreign Assets Control Financial Sector Symposium

**April 21, 2010 — New York, NY**

The Office of Foreign Assets Control is sponsoring a Financial Sector Symposium designed to provide the financial sector with insight and perspective from top OFAC officials on banking, securities, and OFAC's enforcement guidelines. Because of high demand, attendance at the one-day event will be limited to international banking operations and compliance officers and those who are employees of securities firms. It is not intended for third party service providers. Exceptions will be made for executive staff of international banking and securities organizations. The sessions will be closed to the press. The event will provide financial professionals with a unique opportunity to hear from and interact with not only the Office of Foreign Assets Control, but key state and federal regulators. Participants can expect to gain insight into topics such as agency enforcement issues and recent cases, the future of the SDN list, and transparency relating to securities, funds transfers and trade finance. Don't miss out on this unique opportunity to participate in an event designed for financial sector professionals by OFAC itself!

## ***Event Details:***

**Agenda:** The agenda and additional event details will be emailed to all registered participants as the Symposium date draws nearer. Lunch, light snacks and beverages will be provided to attendees.

**Location/Time:** The Financial Sector Symposium will take place on Wednesday, April 21, 2010 at the Federal Reserve Bank of New York's Conference Center, 33 Liberty Street, NY, NY:

[http://www.newyorkfed.org/aboutthefed/ny\\_directions.html](http://www.newyorkfed.org/aboutthefed/ny_directions.html)

All participants must bring an officially issued photo ID, such as a driver's license or passport, and go through scanning equipment to enter the building. Registration will begin at 8:30 a.m. The Symposium itself will run from 10:00 a.m. to 4:30 p.m.

**Cost:** This is a **free** event.



**Questions for the Regulators:** We will be accepting questions in advance for a Q & A session that will include both state and federal regulators. Please submit your question(s) with your emailed registration.

**Registration:** You may register by email at [OFACSymposium@do.treas.gov](mailto:OFACSymposium@do.treas.gov). Please provide your name, company name, email address, and a phone number. Space is limited so please register in advance. We will only respond to emailed correspondence regarding this event. Please do not use OFAC's hotline for Symposium calls. All emails will be answered in the order in which they are received. Email is the only acceptable form of registration and correspondence for this event.

If you wish to register, please send us an email with the following information:

1. Full Name
2. Company Name
3. Title
4. Email Address
5. Phone Number
6. Questions for the Regulators

Registrations not including your full name, title, company name, and email address will not be accepted. Only two persons per institution/organization will be allowed so that we may accommodate as many institutions as possible. If you have more than two persons wishing to attend, we will place their names on a waiting list should space become available. Once you have submitted your email to [OFACSymposium@do.treas.gov](mailto:OFACSymposium@do.treas.gov), we will send you a message confirming your registration for the event.

**Registration Deadline:** All registrations (including any changes to registration information) MUST be received no later than **March 12**.

**Email Confirmation:** If we are able to accommodate your registration request, we will notify you via email starting on March 13. All persons who have successfully registered for the Symposium will receive an email from OFAC no later than March 22, 2010. If you have not received a confirmation email from OFAC, you are not registered for the event.

**Note AGAIN:** The Symposium will be strictly limited to banking and financial sector operations and compliance professionals. It is not intended for the media and so will be "closed-press."

**Questions:** For questions, please email us at: [OFACSymposium@do.treas.gov](mailto:OFACSymposium@do.treas.gov)

