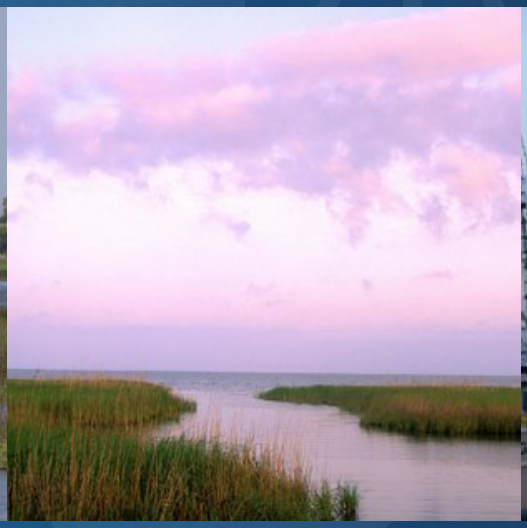
RESTORE Act

Direct Component Guidance and Application to Receive Federal Financial Assistance



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INTRODUCTION

The Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act) established a new Trust Fund in the Treasury of the United States, known as the Gulf Coast Restoration Trust Fund. Eighty percent of the civil penalties paid after July 6, 2012, under the Federal Water Pollution Control Act in connection with the Deepwater Horizon oil spill will be deposited into the Trust Fund and invested. Trust Fund amounts will be available for eligible activities[1] described in the RESTORE Act.

This guidance solely applies to the Treasury-administered Direct Component, which makes 35 percent of amounts deposited into the Trust Fund available to four Gulf Coast states, 23 Florida counties, and 20 Louisiana parishes. The Direct Component is governed by the regulations at 31 C.F.R. Part 34[2], as well as guidance issued by the Office of Management and Budget entitled, "Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" at 78 Fed. Reg. 78,590 (December 26, 2013). In addition to the guidance, Treasury includes the OMB Standard Forms and Direct Component Grant Application and Reporting Attachments to OMB Standard Form SF-424 to assist applicants in developing their grant applications and reporting during the duration of the grant. Treasury may revise or update the guidance in the future and will notify all eligible applicants of any changes.

1.0 GETTING STARTED

Before starting the application process, an applicant should check to make sure it is one of the eligible applicants and is proposing an eligible activity as listed below. An applicant should make sure it is enrolled in the GrantSolutions.gov online grant system. Finally, as required by the RESTORE Act, each eligible applicant must prepare a Multiyear Implementation Plan (multiyear plan) before submitting an application (unless it is applying for planning assistance funds to develop the multiyear plan). Applicants must complete an operational self-assessment before receiving an award, as described in Section 1.6 below.

1.1 DIRECT COMPONENT ELIGIBLE APPLICANTS: As provided in the RESTORE Act, the following entities may apply for Direct Component grants: the States of Texas, Louisiana, Mississippi, and Alabama; the Coastal Zone parishes of Ascension, Assumption, Calcasieu, Cameron, Iberia, Jefferson, Lafourche, Livingston, Orleans, Plaquemines, St. Bernard, St. Charles, St. James, St. John the Baptist, St. Martin, St. Mary, St. Tammany, Terrebonne, Tangipahoa, and Vermilion in the State of Louisiana; and disproportionately affected counties of Bay, Escambia, Franklin, Gulf, Okaloosa, Santa Rosa, Wakulla, and Walton, and non-disproportionately impacted counties of Charlotte, Citrus, Collier, Dixie, Hernando,

[1] Activity means an activity, project, or program, including research and monitoring, eligible for funding under the Act.

[2] In the event of a conflict between these guidelines and the Treasury regulation, the regulations control.

Hillsborough, Jefferson, Lee, Levy, Manatee, Monroe, Pasco, Pinellas, Sarasota, and Taylor, all in the State of Florida.[3]

1.2 ELIGIBLE ACTIVITIES: As provided in the RESTORE Act, activities, programs, and projects that are eligible for being funded with grants under the Direct Component (eligible activities) include: restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region; mitigation of damage to fish, wildlife and natural resources; implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring; workforce development and job creation; improvements to or on State parks located in coastal areas affected by the *Deepwater Horizon* oil spill; infrastructure projects benefitting the economy or ecological resources, including port infrastructure; coastal flood protection and related infrastructure; planning assistance; promotion of tourism in the Gulf Coast Region, including recreational fishing; and promotion of the consumption of seafood harvested from the Gulf Coast Region. Administrative costs are eligible for funding in conjunction with one of the Direct Component eligible activities listed. See Section 2.6 for information on administrative costs.

1.3 ACCESS TO GRANTSOLUTIONS.GOV: Treasury will receive grant applications from eligible applicants and manage its application review, award, reporting, amendment, and close out processes through Department of Health and Human Services (HHS's) GrantSolutions.gov shared-service provider system. Eligible applicants must submit completed applications for proposed activities electronically via GrantSolutions.gov. In order to do so, the applicant must first have a user account. Treasury will work with HHS and contact each applicant to request information to establish each user account.

1.4 TECHNICAL ASSISTANCE: Treasury will offer programmatic training and technical support materials that will assist the applicant in preparing a multiyear plan and grant applications (through GrantSolutions.gov). Treasury encourages applicants to engage in early consultation with Treasury to avoid issues of incomplete applications and to streamline the formal review process. In addition, the applicant may submit written questions relating to developing the multiyear plan, engaging in the application process, or interpreting the Direct Component forms to restoreact@treasury.gov. Treasury will add questions that are common to more than one applicant to the frequently asked questions resources available to all applicants. Furthermore, Treasury encourages applicants to obtain grants management training for the staff who will be working with Treasury during grants submission, review, and post-award processes, including reporting.

[3] Eligible applicants are the Alabama Gulf Coast Recovery Council, or its administrative agent; the Board of County Commissioners of each of the named Florida counties; the Coastal Protection and Restoration Authority Board of Louisiana; the Chief Executive Officer of each of the named Louisiana parishes; the Mississippi Department of Environmental Quality; and the Texas Office of the Governor, or the Governor's appointee.

1.5 MULTIYEAR PLAN AND TREASURY RESPONSE:

Multiyear Plan Submission. As a prerequisite under the RESTORE Act for requesting and receiving Direct Component funding for eligible activities, each applicant must submit a multiyear plan to cover a period of time during which projects could be undertaken with funds available from the Trust Fund.[4] The one exception to this requirement is if an applicant is submitting an application for planning assistance funding to develop a multiyear plan. An applicant must use the form shown at Section 4.0 for its multiyear plans. Multiyear plans are submitted to Treasury separate from the GrantSolutions.gov system. The multiyear plan must include the Multiyear Implementation Matrix, a map showing the locations where the work will be performed, and the narrative description. An applicant must provide detail on planned activities in the multiyear plan, including the information required in the multiyear plan matrix and narrative in Section 4.0. The multiyear plan must be available for 45 days for public review and comment, in a manner calculated to obtain broad-based participation from individuals, businesses, Indian tribes, and non-profit organizations. If the applicant has developed a more detailed multiyear plan document in addition to the forms in Section 4.0, the document should be included as an attachment to the multiyear plan submission to Treasury. After completing its multiyear plan, the applicant must submit the complete information package to the Department of Treasury's RESTORE Act mailbox, (restoreact@treasury.gov).

Planning Assistance to Develop Multiyear Plan

Applicants may apply for planning assistance funds to support the development of a multiyear plan. A request for this type of planning assistance grant would be submitted as a Direct Component application in GrantSolutions.gov as described in Sections 2.0 and 3.0 (Direct Component Financial Assistance Application—Non-Construction Activities). The applicant will not need to address questions 4 and 8 in the application form (see Section 5.1) that relate to a multiyear plan. An application for multiyear plan planning assistance is the one exception to the requirement that an applicant have a multiyear plan before applying for Direct Component funds.

Treasury Review. Treasury will review the applicant's multiyear plan for completeness and conformity with Treasury regulations. Treasury may request additional information. After reviewing the plan, Treasury will notify the applicant in writing that it may begin to submit grant applications to fund activities consistent with the multiyear plan. The applicant should make the multiyear plan publicly available (e.g., post online after Treasury's review). Treasury will accept applications only after the applicant receives Treasury's letter.

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[4] The initial multiyear plan should include eligible activities that could be undertaken with funds currently deposited in the Trust Fund and not-yet-deposited funds that represent known future allocations from the Trust Fund. The reference to the "not-yet-deposited funds that represent known future allocations from the Trust Fund" in these instructions means payments into the Trust Fund expected in 2015 from Transocean Offshore Deepwater Drilling, Inc. and Transocean Deepwater, Inc. Applicants may not consider other, future deposits into the Trust Fund in their multiyear plans unless the deposits are required by a final judgment or binding agreement.

Updating or Revising Multiyear Plans. An applicant's multiyear plan can be phased and incremental and may be modified after Treasury accepts the initial multiyear plan. Phases or increments could be based on factors such as a limited duration for the multiyear plan (e.g., a first phase may cover only two to three years) or a limited number of eligible activities (e.g., a first increment may include only "mitigation of damage to fish, wildlife, and natural resources"). The applicant may propose additional activities or modify the multiyear plan significantly, including adding eligible activities to be undertaken with additional funds deposited in the Trust Fund. Whenever there is a material change in the multiyear plan, the applicant must follow the requirements for public engagement that apply to initial multiyear plans. Revised multiyear plans must include a revised matrix, updated narrative, and revised map that will address the entire multiyear plan content including the revisions. After Treasury notifies the applicant in writing that Treasury has accepted the revised multiyear plan, the applicant may submit grant applications for the new proposed activities.

1.6 OPERATIONAL SELF-ASSESSMENT: Prior to receiving Direct Component funding for eligible activities, each applicant must complete an Operational Self-Assessment concerning its internal and financial controls, which Treasury will utilize in its compliance process. The applicant must submit the Operational Self-Assessment to the Department of Treasury's RESTORE Act mailbox, restoreact@treasury.gov. The Operational Self-Assessment can be obtained at http://www.treasury.gov/services/restore-act/Pages/default.aspx.

2.0 GENERAL DIRECT COMPONENT APPLICATION INSTRUCTIONS

2.1 FUNDING OPPORTUNITY ANNOUNCEMENTS: GRANTS.GOV AND DEPARTMENT OF TREASURY WEBSITE: Treasury will post funding opportunity announcements for the Direct Component on Grants.gov (http://www.grants.gov). The funding opportunity announcements will provide a description of the funding opportunity (one of the five opportunities listed in Section 2.2), eligible applicants, and contacts for more information. Treasury will post the same information, including this guidance and application materials, on its RESTORE Act website, http://www.treasury.gov/services/restore-act/Pages/default.aspx.

2.2 HOW TO OBTAIN AN APPLICATION: Grants.gov will not be used for the application submission process. Treasury will be using GrantSolutions.gov to manage the application submission process, and any eligible applicants applying for the funding opportunity announcements listed below will need to prepare and submit their applications using GrantSolutions.gov. Only eligible applicants may apply for any of the funding opportunities described below after obtaining their GrantSolutions.gov username and password. Eligible applicants must submit applications via GrantSolutions.gov. The applicant should be sure to access and complete the specific application package within GrantSolutions.gov that corresponds to the activity's category since there are specific instructions, forms, and required attachments for each of the five funding categories. Applications for funding for activities approved prior to July 6, 2012 will use the relevant application for non-construction, construction, or real property activities depending on the type of activity to be funded.

Activities approved prior to July 6, 2012 are "previously approved projects and programs" that conform to the RESTORE Act and regulations at 33 U.S.C §1321(t)(1)(J) and 31 C.F.R. §34.303(d). If the applicant is unsure of which application package to use, the applicant may contact restoreact@treasury.gov for further assistance. The available application packages are as follows:

1. Direct Component Financial Assistance Application — Non-Construction Activities (including funding for activities approved prior to July 6, 2012)
2. Direct Component Financial Assistance Application — Construction Project Activities (including funding for activities approved prior to July 6, 2012)
3. Direct Component Financial Assistance Application — Real Property Activities (including funding for activities approved prior to July 6, 2012)
4. Direct Component Financial Assistance Application — Requesting Funds to Serve as Non-Federal Share for Other Federally Funded Activities (Non-Construction or Real Property Activities)
5. Direct Component Financial Assistance Application — Requesting Funds to Serve as Non-Federal Share for Other Federally Funded Activities (Construction Activities)

Applicants must complete and submit one application package for each proposed activity. Only with Treasury's prior permission may the applicant submit a single application package containing two or more similar or related activities that constitute a package of activities or a program. If the applicant believes that combining several such activities into a single application package is appropriate, the applicant should contact Treasury by email at restoreact@treasury.gov for further guidance.

2.3 APPLICATION REQUIREMENTS:

- Applications can be accepted only through GrantSolutions.gov. Treasury will not accept applications sent by email, paper mail, courier, or delivery service, unless the applicant obtains prior approval from Treasury.
- Any files uploaded or attached to the GrantSolutions.gov application must be PDF file format and must contain a valid file format extension in the filename, unless otherwise specified in this guidance.
    - Please note that any form templates provided by Treasury must be completed and uploaded in the native format provided by Treasury.
- Even though GrantSolutions.gov allows applicants to attach other file formats as part of their application, Treasury restricts this practice and only accepts PDF file format or JPEG for scanned copies of signed certifications as noted below, unless otherwise specified in this guidance.
- Any file submitted as part of the GrantSolutions.gov application that is not in a PDF file format or in another format otherwise specified in this guidance, or contains password protection, will not be accepted for processing and will be excluded from the application during the review process. In addition, the use of compressed file formats such as ZIP, RAR or Adobe Portfolio will not be accepted.

- The application must be submitted in a file format that can be copied easily and read by reviewers. Scanned copies of signed certifications must be readable and submitted through GrantSolutions.gov in a PDF or JPEG file format. Pages cannot be reduced resulting in multiple pages on a single sheet.
- All documents that do not conform to the above will be excluded from the application during the review process.

2.4 RECEIPT OF APPLICATIONS: After the funding opportunity announcements are published on http://www.grants.gov, only eligible applicants will have access to prepare and submit applications via GrantSolutions.gov. Treasury will review applications on a continuing basis as received. If Treasury later decides to accept applications on a schedule, Treasury will notify all eligible applicants directly about any application due dates that may be implemented, and post the announcement on Treasury's website.

As the applicant begins to prepare its grant applications, Treasury will assist in responding to questions about the process, application completeness, and satisfaction of criteria under the Act and the regulation. Upon receipt of an application, Treasury will review and consider Direct Component applications described in the multiyear plan, and may contact the applicant to request more information.

2.5 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) AND SYSTEM FOR AWARD MANAGEMENT (SAM) REQUIREMENTS

All applicants must have a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number (see 2 C.F.R. §25.200). The DUNS number is a nine-digit identification number that uniquely identifies business entities. To obtain a DUNS number, access the following website: http://www.dunandbradstreet.com/, or call 1-866-705-5711. This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 8c on the Form SF-424, "Application for Federal Assistance"). Applicants should obtain this DUNS number immediately, prior to registering with Sam.gov (described in the next paragraph), to ensure all registration steps are completed in time.

Organizations and entities that wish to apply for federal grants are required to be registered with the System for Award Management (SAM) (see 2 C.F.R. §25.200). Applicants can register with the SAM online at: https://www.sam.gov. Organizations are required to maintain an active registration and must renew their registration annually. Failure to renew a SAM registration prior to submission of an application or issuance of a grant will prevent an applicant from successfully receiving an award.

All applicants must provide their DUNS and EIN/TIN numbers in order to register in Sam.gov. Registering an account with the SAM is a separate process from submitting an application. Applicants are encouraged to register early. Registration should be completed in sufficient time to ensure that it does not impair an applicant's ability to apply for and receive an award. Applicants must successfully register with the SAM prior to submitting an application or registering in the Federal Funding Accountability and Transparency Act Subaward Reporting

System (FSRS) as a prime recipient user. Prime recipients must maintain a current registration with the SAM, and if making subawards, then subawards may only be made to entities that have DUNS numbers.

Organizations that must report executive compensation as part of the registration profile at Sam.gov must do so by the end of the month following the month in which the award is made, and annually thereafter (based on the reporting requirements of the Federal Funding Accountability and Transparency Act (FFATA) of 2006 (Pub. L. 109-282), as amended by section 6202 of Public Law 110-252 and implemented by 2 C.F.R. Part 170).

2.6 ADMINISTRATIVE COSTS: Not more than three percent of the award amount may be used for administrative costs. If the recipient has received less than the full amount of the award when the grant is closed-out, the three percent limit will be applied against amounts actually received. Administrative costs are indirect costs for administration that may include costs for general management functions, general ledger accounting, budgeting, human resource services, general procurement services, and general legal services. Administrative costs do not include indirect costs that are identified specifically with, or readily assignable to, (a) facilities, (b) eligible projects, programs, or planning activities, or (c) activities relating to grant applications, awards, audit requirements, or post-award management, including payments and collections. An applicant's negotiated indirect cost rate may be used if it does not exceed three percent of the award amount. An applicant must submit the negotiated indirect cost rate agreement as part of the application.

3.0 ACTIVITY-SPECIFIC APPLICATION INSTRUCTIONS

3.1 NON-CONSTRUCTION ACTIVITIES These instructions pertain to applications for any non-construction projects under the Direct Component. If the application is for an activity approved prior to July 6, 2012, please address the special instructions.

Required Forms: The applicant must submit an application containing the items listed below. Treasury will not consider application packages until the applicant submits all required forms, narratives, certifications, and attachments listed below. For non-construction activities, the applicant must complete and submit the following standard forms and documentation. If an item specifies the use of a template, then the applicant is required to download the template from within the GrantSolutions.gov application kit, complete the template, and re-upload the completed template, in its native file format, as part of the application submission.

1. Form *SF-424* (*Application for Federal Assistance*).
   - ***Special instructions for activities approved prior to July 6, 2012:*** The amounts shown should only reflect the amount needed to complete the activity.
2. Form *SF-424A (Budget Information – Non-Construction Programs).*
   - ***Special instructions for activities approved prior to July 6, 2012:*** If information requested in this form is included in the other approving agency's application, the applicant may include cross-references, with the budget clearly showing the non-

Federal (or Direct Component) share, and a copy of the application.

3. Form *SF-424 B* (*Assurance for Non-Construction Projects*).
4. *Direct Component Financial Assistance Application* that addresses specific requirements under the Act and the regulation, including the Treasury RESTORE Act Environmental Checklist *(completion of template required)*.
   - ***Special instructions for activities approved prior to July 6, 2012:***
     a. The project description must clarify when the activity was approved, the name of the approving agency, the current status of the project, the original approved budget for the project, the amount of funds that have already been expended on the project, and the expected funds required for project completion. If any cost overruns have occurred or are expected to occur, the applicant must provide an explanation.
     b. For the questions regarding procurement, public input, and "best available science," the applicant must clearly describe the approach that was taken and how this approach is "substantively the same" as the statutory requirements [see 33 U.S.C. §1321(t)(1)(E)].
     c. If the information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response, and include a copy of the application.
5. A letter from the applicant's highest official[5] designating a senior authorized official who can legally bind the entity to execute the *Direct Component Funding Certification by Authorized Senior Official (completion of template required)*. If a different official executes the Certification, the applicant's highest official will need to submit a new letter of designation that indicates the senior authorized official can legally bind the entity to execute the Certification.
   - ***Special instructions for activities approved prior to July 6, 2012:*** If the applicant does not believe its authorized official can sign the *Direct Component Funding Certification by Authorized Senior Official*, the applicant should contact Treasury for further instructions.
6. *Direct Component Applicant Certifications* in Section 7.0 of this guidance *(completion of template required)*, signed by the person designated above.
7. *Direct Component Activity Milestones Report (completion of template required)*. Milestones are discrete actions that, taken together, comprise an eligible activity. Milestones demonstrate progress in completing the planned activity.
   - ***Special instructions for activities approved prior to July 6, 2012:*** If the

[5] The highest official for the State of Alabama shall be the Chairperson of the Alabama Gulf Coast Recovery Council; for Mississippi, the Executive Director of the Mississippi Department of Environmental Quality; for Louisiana, the Executive Director of the Coastal Protection and Restoration Authority of Louisiana; and for Texas, the Governor. For the political subdivisions in Louisiana (parishes) and Florida (counties), the authorized official shall be the appropriate chief executive of the subdivision.

information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response and include a copy of the application.

8. *RESTORE Act Status of Performance Report (completion of template required).* Performance measures should be outcome-oriented in nature to the extent possible and demonstrate progress toward reaching the stated objective(s) for the proposed activity. Each measure should include a target date for completion. The applicant will report to Treasury its progress on these measures throughout the life of the grant using the same report as an attachment to the SF-PPR. Treasury encourages the applicant to develop additional measures that will extend past the grant period to demonstrate project outcomes, when applicable.
   - ***Special instructions for activities approved prior to July 6, 2012:*** The performance measures should show actual performance outcomes already achieved, if applicable.
9. ***Special instructions for activities approved prior to July 6, 2012 only:***
   a. Documentation showing that the activity was approved prior to July 6, 2012, such as a grant approval letter or meeting minutes from the governmental entity with authority to approve the project.
   b. Documentation of the original budget and scope of work (e.g., an approved grant application).
   c. A copy of the most recent performance report for the project, if applicable.

Jurisdiction-Specific Required documents.
In addition, **Alabama** must submit a certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).

In addition, each **Louisiana parish** applying for assistance must submit in its first application, a copy of a signed certification that complies with 31 CFR § 34.302(e), pertaining to its comprehensive land use plan. If the parish modifies its comprehensive land use plan, the parish must submit an updated certification, consistent with guidance from the Office of the Governor of Louisiana.

3.2 CONSTRUCTION ACTIVITIES These instructions pertain to any construction projects under the Direct Component. If the application is for an activity that was approved prior to July 6, 2012, please address the special instructions.

Required Forms. The applicant must submit an application containing the items listed below. Treasury will not consider application packages until the applicant submits all required forms, narratives, certifications, or attachments listed below. For construction activities, the applicant must complete and submit the following standard forms and documentation. If an item specifies the use of a template, then the applicant is required to download the template

from within the GrantSolutions.gov application kit, complete the template, and upload the completed template, in its native file format, as part of the application submission.

1. Form *SF-424* (*Application for Federal Assistance*).
   - ***Special instructions for activities approved prior to July 6, 2012:*** The amounts shown should only reflect the amount needed to complete the activity.
2. Form *SF-424C (Budget Information for Construction Programs).*
   - ***Special instructions for activities approved prior to July 6, 2012:*** If information requested in this form is included in the other approving agency's application, the applicant may include cross-references, with the budget clearly showing the non-Federal (or Direct Component) share, and include a copy of the application.
3. Form *SF-424 D (Assurance for Construction Projects).*
4. *Direct Component Financial Assistance Application* that addresses specific requirements under the Act and the regulation, including the Treasury RESTORE Act Environmental Checklist *(completion of template required)*.
   - ***Special instructions for activities approved prior to July 6, 2012:***
     a. The project description must clarify when the activity was approved, the name of the approving agency, the current status of the project, the original approved budget for the project, the amount of funds that have already been expended on the project, and the expected funds required for project completion. If any cost overruns have occurred or are expected to occur, the applicant must provide an explanation.
     b. For the questions regarding procurement, public input, and "best available science," the applicant must clearly describe the approach that was taken and how this approach is "substantively the same" as the statutory requirements [see 33 U.S.C. §1321(t)(1)(E)].
     c. If information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response, and include a copy of the application.
5. A letter from the applicant's highest official[6] designating a senior authorized official, who can legally bind the entity to execute the *Direct Component Funding Certification by Authorized Senior Official (completion of template required)*. If a different official executes the Certification, the applicant's highest official will need to submit a new letter of designation that indicates the senior authorized official can legally bind the entity to execute the Certification.

[6] The highest official for the State of Alabama shall be the Chief Executive of the Alabama Gulf Coast Recovery Council; for Mississippi, the Chief Executive of the Mississippi Department of Environmental Quality; for Louisiana, the Chief Executive of the Coastal Protection and Restoration Authority of Louisiana; and for Texas, the Governor. For the political subdivisions in Louisiana (parishes) and Florida (counties), the authorized official shall be the appropriate chief executive of the subdivision.

- ***Special instructions for activities approved prior to July 6, 2012:*** If the applicant does not believe its authorized official can sign the *Direct Component Funding Certification by Authorized Senior Official*, the applicant should contact Treasury for further instructions.

6. *Direct Component Applicant Certifications* in Section 7.0 of this guidance *(completion of template required)*, signed by the person designated above.
7. *Direct Component Activity Milestones Report (completion of template required)*. Milestones are discrete actions that, taken together, comprise an eligible activity. Milestones demonstrate progress in completing the planned activity.
   - ***Special instructions for activities approved prior to July 6, 2012:*** If the information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response and include a copy of the application.
8. *RESTORE Act Status of Performance Report (completion of template required).* Performance measures should be outcome-oriented in nature to the extent possible and demonstrate progress toward reaching the stated objective(s) for the proposed activity. Each measure should include a target date for completion. The applicant will report to Treasury its progress on these measures throughout the life of the grant using the same report as an attachment to the SF-PPR. Treasury encourages the applicant to develop additional measures that will extend past the grant period to demonstrate project outcomes, when applicable.
   - ***Special instructions for activities approved prior to July 6, 2012:*** The performance measures should show actual performance outcomes already achieved, if applicable.
9. A map of the area in which the construction project will be located, with the boundaries of the project site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF, JPEG, or PNG. USGS maps are available in PDF format at [http://www.usgs.gov/pubprod/maps.html].
10. If applicable, a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the construction project will be located with the boundaries of the site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF, JPEG, or PNG. FEMA floodplain maps, in PDF or PNG format, are available at: FEMA Map Service Center, "How to Find Your Map" https://msc.fema.gov/webapp/wcs/stores/servlet/info?storeId=10001&catalogId=10001&langId=-1&content=firmHelp_1&title=How%20to%20Find%20Your%20Flood%20Map
11. Documentation relating to title, including recorded easements, and other legal matters arising out of the real property acquisition or conveyance or construction, such as permit or bonding requirements and disclosure of outstanding liens and recorded easements or if the project involves construction over or through any rights-of-way not owned by the applicant (e.g., railroad rights-of-way), an approval or permit from the relevant authority(ies).

12. A title opinion showing ownership of the property and any deed restrictions.
13. ***Special instructions for activities approved prior to July 6, 2012 only:***
    a. Documentation showing that the activity was approved prior to July 6, 2012, such as a grant approval letter or meeting minutes from the governmental entity with authority to approve the project.
    b. Documentation of the original budget and scope of work (e.g., an approved grant application).
    c. A copy of the most recent performance report for the project, if applicable.

Jurisdiction-Specific Required Documents.
In addition, **Alabama** must submit a certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).

In addition, each **Louisiana parish** applying for assistance must submit in its first application a copy of a signed certification that complies with 31 CFR §34.302(e), pertaining to its comprehensive land use plan. If the parish modifies its comprehensive land use plan, the parish must submit an updated certification, consistent with guidance from the Office of the Governor of Louisiana.

3.3 REAL PROPERTY ACTIVITIES These instructions pertain to any activities solely for land acquisition and other real estate conveyances of title under the Direct Component. If the application is for an activity that was approved prior to July 6, 2012, please address the special instructions.

Required Forms. The applicant must submit an application containing the items listed below. Treasury will not consider application packages until the applicant submits all required forms, narratives, certifications, or attachments listed below. For real property activities, the applicant must complete and submit the following standard forms and documentation. If an item specifies the use of a template, then the applicant is required to download the template from within the GrantSolutions.gov application kit, complete the template, and upload the competed template, in its native file format, as part of the application submission.

1. Form *SF-424* (*Application for Federal Assistance*).
    - ***Special instructions for activities approved prior to July 6, 2012:*** The amounts shown should only reflect the amount needed to complete the activity.
2. *Direct Component Financial Assistance Application* that addresses specific requirements under the Act and the regulation, including the Treasury RESTORE Act Environmental Checklist *(completion of template required)*.
    - ***Special instructions for activities approved prior to July 6, 2012:***
        a. The project description must clarify when the activity was approved, the name of the approving agency, the current status of the project, the original approved budget for the project, the amount of funds that have already been

expended on the project, and the expected funds required for project completion. If any cost overruns have occurred or are expected to occur, the applicant must provide an explanation.

b. For the questions regarding procurement, public input, and "best available science," the applicant must clearly describe the approach that was taken and how this approach is "substantively the same" as the statutory requirements [see 33 U.S.C. §1321(t)(1)(E)].

c. If information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response.

3. Form *SF-424A (Budget Information – Non-Construction Programs).*
   - ***Special instructions for activities approved prior to July 6, 2012:*** If information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and response, with the budget clearly showing the non-Federal (or Direct Component) share.
4. Form *SF-424 B* (*Assurance for Non-Construction Projects*).
5. A letter from the applicant's highest official[7] designating a senior authorized official, who can legally bind the entity to execute the *Direct Component Funding Certification by Authorized Senior Official (completion of template required)*. If a different official executes the Certification, the applicant's highest official will need to submit a new letter of designation that indicates the senior authorized official can legally bind the entity to execute the Certification.
   - ***Special instructions for activities approved prior to July 6, 2012:*** If the applicant does not believe its authorized official can sign the *Direct Component Funding Certification by Authorized Senior Official*, the applicant should contact Treasury for further instructions.
6. *Direct Component Applicant Certifications* in Section 7.0 of this guidance *(completion of template required)*, signed by the person designated above.
7. *Direct Component Activity Milestones Report (completion of template required)*. Milestones are discrete actions that, taken together, comprise an eligible activity. Milestones demonstrate progress in completing the planned activity.
   - ***Special instructions for activities approved prior to July 6, 2012:*** If information requested in this form is included in the other approving agency's application, the applicant may include cross-references between the particular question and

[7] The highest official for the State of Alabama shall be the Chief Executive of the Alabama Gulf Coast Recovery Council; for Mississippi, the Chief Executive of the Mississippi Department of Environmental Quality; for Louisiana, the Chief Executive of the Coastal Protection and Restoration Authority of Louisiana; and for Texas, the Governor. For the political subdivisions in Louisiana (parishes) and Florida (counties), the authorized official shall be the appropriate chief executive of the subdivision.

response and include a copy of the application.

8. *RESTORE Act Status of Performance Report (completion of template required).* Performance measures should be outcome-oriented in nature to the extent possible and demonstrate progress toward reaching the stated objective(s) for the proposed activity. Each measure should include a target date for completion. The applicant will report to Treasury its progress on these measures throughout the life of the grant using the same report as an attachment to the SF-PPR. Treasury encourages the applicant to develop additional measures that will extend past the grant period to demonstrate project outcomes, when applicable.
   - ***Special instructions for activities approved prior to July 6, 2012:*** The performance measures should show actual performance outcomes already achieved, if applicable.
9. A map of the area in which the real property acquisition will be located, with the boundaries of the project site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. USGS maps are available in PDF format at [http://www.usgs.gov/pubprod/maps.html].
10. If applicable, a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the real property acquisition will be located with the boundaries of the site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. FEMA floodplain maps, in PDF or PNG format, are available at: FEMA Map Service Center, "How to Find Your Map" https://msc.fema.gov/webapp/wcs/stores/servlet/info?storeId=10001&catalogId=10001&langId=-1&content=firmHelp_1&title=How%20to%20Find%20Your%20Flood%20Map.
11. Documentation relating to title, including recorded easements, and other legal matters arising out of the acquisition or conveyance, such as disclosure of outstanding liens and recorded easements.
12. A title opinion, copy of a recent appraisal completed by a certified appraiser and a statement from the seller that he/she is a willing seller.
    - ***Special instructions for activities approved prior to July 6, 2012 only:***
      a. Documentation showing that the activity was approved prior to July 6, 2012, such as a grant approval letter or meeting minutes from the governmental entity with authority to approve the project.
      b. Documentation of the original budget and scope of work (e.g., an approved grant application).
      c. A copy of the most recent performance report for the project, if applicable.

Jurisdiction-Specific Required Documents.

In addition, **Alabama** must submit a certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).

In addition, each **Louisiana parish** applying for assistance must submit in its first application, a copy of a signed certification that complies with 31 CFR §34.302(e), pertaining to its comprehensive land use plan. If the parish modifies its comprehensive land use plan, the parish must submit an updated certification, consistent with guidance from the Office of the Governor of Louisiana.

3.4 NON-FEDERAL SHARE OF ANOTHER FEDERALLY FUNDED ACTIVITY (NON-CONSTRUCTION OR REAL PROPERTY ACTIVITIES) These instructions pertain to applications for Direct Component funds intended to cover the non-Federal share of another federally funded non-construction or real property acquisition activity.

Required Forms. The applicant must submit an application containing the items listed below. Treasury will not consider application packages until the applicant submits all required forms, narratives, certifications, or attachments listed below. For non-construction or real property activities involving a non-federal share of another federally funded activity, the applicant must complete and submit the following standard forms and documentation. If an item specifies the use of a template, then the applicant is required to download the template from within the GrantSolutions.gov application kit, complete the template, and re-upload the competed template, in its native file format, as part of the application submission.

1. Form *SF-424* (*Application for Federal Assistance*).
2. *Direct Component Financial Assistance Application* that addresses specific requirements under the Act and the regulation, including the Treasury RESTORE Act Environmental Checklist *(completion of template required)*.
   - ***Special instructions:*** If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response, and by providing a copy of the application and budget.
3. *SF-424A, Budget Information for Non-Construction Programs*
   a. For Non-Construction, the Direct Component "match" funds should be included in Section A column (e) "Federal" of the SF-424A, as well as Section B, column 1 for items (6) and (7).
   b. ***Special instructions***: If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response, with the budget clearly showing the non-Federal (or Direct Component) share.
4. Form *SF-424 B* (*Assurance for Non-Construction Projects*).
5. A letter from the applicant's highest official[8] designating a senior authorized official, who can legally bind the entity to execute the *Direct Component Funding Certification*

[8] The highest official for the State of Alabama shall be the Chief Executive of the Alabama Gulf Coast Recovery Council; for Mississippi, the Chief Executive of the Mississippi Department of Environmental Quality; for Louisiana, the Chief Executive of the Coastal Protection and Restoration Authority of Louisiana; and for Texas,

by Authorized Senior Official (completion of template required). If a different official executes the Certification, the applicant's highest official will need to submit a new letter of designation that indicates the senior authorized official can legally bind the entity to execute the Certification.

6. *Direct Component Applicant Certifications* in Section 7.0 of this guidance *(completion of template required)*, signed by the applicant's senior authorized official (must be the same individual as listed in the letter of designation).
7. *Direct Component Activity Milestones Report (completion of template required).* Milestones are discrete actions that, taken together, comprise an eligible activity. Milestones demonstrate progress in completing the planned activity.
   - ***Special instructions***: If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response.
8. If the other Federal agency already has approved the activity, the applicant needs to include a copy of the approval letter and grant agreement as part of this Direct Component application.
9. If the other Federal agency has not approved the Direct Component activity, a copy of the applicant's application package to that agency, to include the project description/scope of work and performance metrics.
   - ***Special instructions***: If the other Federal application did not require the applicant to list specific performance metrics, the applicant must list the performance measures on the *RESTORE Act Activity Status of Performance Report (completion of template required)*. Performance measures should be outcome-oriented in nature to the extent possible and demonstrate progress toward reaching the stated objective(s) for the proposed activity; each measure should include a specific target and date for completion. The applicant will report to Treasury its progress on these measures throughout the life of the grant using the same report as an attachment to the SF-PPR. Treasury encourages the applicant to develop additional measures that will extend past the grant period to demonstrate project outcomes, when applicable.
10. If the other Federal agency has not approved the activity, the applicant needs to include a narrative describing the activity's status and the approximate timeline for the Federal agency to approve or decline the activity. Treasury will not award funds for match until the other Federal agency has approved the activity and Treasury receives the executed documentation.

FOR REAL PROPERTY NON-FEDERAL SHARE APPLICATION INCLUDE THE FOLLOWING:

11. A map of the area in which the real property acquisition will be located, with the

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the Governor. For the political subdivisions in Louisiana (parishes) and Florida (counties), the authorized official shall be the appropriate chief executive of the subdivision.

boundaries of the project site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. USGS maps are available in PDF format at [http://www.usgs.gov/pubprod/maps.html].

12. If applicable, a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the real property acquisition will be located with the boundaries of the site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. FEMA floodplain maps, in PDF or PNG format, area available at: FEMA Map Service Center, "How to Find Your Map" https://msc.fema.gov/webapp/wcs/stores/servlet/info?storeId=10001&catalogId=10001&langId=-1&content=firmHelp_1&title=How%20to%20Find%20Your%20Flood%20Map.
13. Documentation relating to title, including recorded easements, and other legal matters arising out of the acquisition or conveyance, such as disclosure of outstanding liens and recorded easements.
14. Title opinion, copy of a recent appraisal completed by a certified appraiser, and a statement from the seller that he/she is a willing seller.

Jurisdiction-Specific Required Documents.
In addition, **Alabama** must submit a certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).

In addition, each **Louisiana parish** applying for assistance must submit in its first application, a copy of a signed certification that complies with 31 CFR §34.302(e), pertaining to its comprehensive land use plan. If the parish modifies its comprehensive land use plan, the parish must submit an updated certification, consistent with guidance from the Office of the Governor of Louisiana.

3.5 NON-FEDERAL SHARE OF ANOTHER FEDERALLY FUNDED ACTIVITY (CONSTRUCTION ACTIVITIES) These instructions pertain to applications for Direct Component funds intended to cover the non-Federal share of another federally funded activity. Other than the forms cited immediately below, the applicant does not need to submit any of the additional materials that may be required for construction projects.

Required Forms. The applicant must submit an application containing the items listed below. Treasury will not consider application packages until the applicant submits all required forms, narratives, certifications, or attachments listed below. For construction activities involving a non-federal share of another federally funded activity, the applicant must complete and submit the following standard forms and documentation. If an item specifies the use of a template, then the applicant is required to download the template from within the GrantSolutions.gov application kit, complete the template, and re-upload the competed template, in its native file format, as part of the application submission.

1. Form *SF-424* (*Application for Federal Assistance*).
2. *Direct Component Financial Assistance Application* that addresses specific

requirements under the Act and the regulation, including the Treasury RESTORE Act Environmental Checklist *(completion of template required)*.

- ***Special instructions:*** If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response, and by providing a copy of the application and budget.

3. *SF-424C Budget Information for Construction Programs*, as applicable.
    a. For Construction, the total budget should be included in column a of the SF-424C, Other Federal funds should be shown in column b, and Direct Component "match" funds should be shown in column c of the SF-424C.
    b. ***Special instructions***: If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response, with the budget clearly showing the non-Federal (or Direct Component) share.
4. Form *SF-424 D (Assurance for Construction Projects).*
5. A letter from the applicant's highest official[9] designating a senior authorized official, who can legally bind the entity to execute the *Direct Component Funding Certification by Authorized Senior Official (completion of template required)*. If a different official executes the Certification, the applicant's highest official will need to submit a new letter of designation that indicates the senior authorized official can legally bind the entity to execute the Certification.
6. *Direct Component Applicant Certifications* in Section 7.0 of this guidance *(completion of template required)*, signed by the applicant's senior authorized official (must be the same individual as listed in the letter of designation).
7. *Direct Component Activity Milestones Report (completion of template required).* Milestones are discrete actions that, taken together, comprise an eligible activity. Milestones demonstrate progress in completing the planned activity.
    - ***Special instructions***: If information requested in this form is included in the other Federal agency's application, the applicant may include cross-references between the particular question and response.
8. If the other Federal agency already has approved the activity, the applicant needs to include a copy of the approval letter and grant agreement as part of this Direct Component application.

[9] The highest official for the State of Alabama shall be the Chief Executive of the Alabama Gulf Coast Recovery Council; for Mississippi, the Chief Executive of the Mississippi Department of Environmental Quality; for Louisiana, the Chief Executive of the Coastal Protection and Restoration Authority of Louisiana; and for Texas, the Governor. For the political subdivisions in Louisiana (parishes) and Florida (counties), the authorized official shall be the appropriate chief executive of the subdivision.

9. If the other Federal agency has not approved the Direct Component activity, a copy of the applicant's application package to that agency, to include the project description/scope of work and performance metrics.
   - ***Special instructions***: If the other Federal application did not require the applicant to list specific performance metrics, the applicant must list the performance measures on the *RESTORE Act Activity Status of Performance Report (completion of template required)*. Performance measures should be outcome-oriented in nature to the extent possible and demonstrate progress toward reaching the stated objective(s) for the proposed activity; each measure should include a specific target and date for completion. The applicant will report to Treasury its progress on these measures throughout the life of the grant using the same report as an attachment to the SF-PPR. Treasury encourages the applicant to develop additional measures that will extend past the grant period to demonstrate project outcomes, when applicable.
10. If the other Federal agency has not approved the activity, the applicant needs to include a narrative describing the activity's status and the approximate timeline for the Federal agency to approve or decline the activity. Treasury will not award funds for match until the other Federal agency has approved the activity and Treasury receives the executed documentation.
11. A map of the area in which the real property acquisition will be located, with the boundaries of the project site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. USGS maps are available in PDF format at [http://www.usgs.gov/pubprod/maps.html].
12. If applicable, a Federal Emergency Management Agency (FEMA) floodplain map of the area in which the real property acquisition will be located with the boundaries of the site clearly delineated. Only the following file formats will be accepted for maps: PDF, GIF JPEG, or PNG. FEMA floodplain maps, in PDF or PNG format, area available at:

    FEMA Map Service Center, "How to Find Your Map" https://msc.fema.gov/webapp/wcs/stores/servlet/info?storeId=10001&catalogId=10001&langId=-1&content=firmHelp_1&title=How%20to%20Find%20Your%20Flood%20Map
13. Title opinion showing ownership of the property and any deed restrictions.

Jurisdiction-Specific Required Documents.

In addition, **Alabama** must submit a certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).

In addition, each **Louisiana parish** applying for assistance must submit in its first application, a copy of a signed certification that complies with 31 CFR §34.302(e), pertaining to its comprehensive land use plan. If the parish modifies its comprehensive land use plan, the parish must submit an updated certification, consistent with guidance from the Office of the Governor of Louisiana.

4.0 Multiyear Plan Forms And Instructions

4.1 MULTIYEAR PLAN MATRIX (Submitted prior to Direct Component applications)

Multiyear Implementation Plan of Proposed Activities Matrix (with examples and accompanying instructions)

NAME OF ELIBIGLE APPLICANT: _____											
1. CUMULATIVE DIRECT COMPONENT ALLOCATION AVAILABLE FOR DISTRIBUTION TO APPLICANT: $ 3,000,000						2. TOTAL ALLOCATIONS PLUS KNOWN FUNDS NOT YET DEPOSITED IN TRUST FUND FOR DIRECT COMPONENT: $4,000,000					
3. Primary Direct Component Eligible Activity Further Described in Application (Static Field)	4. Activity Title (Static Field)	5. Location - Municipality(ies) (Static Field, locations also shown on attached map)	6. Total Funding Resources For Activity Budget (refer to Instructions)				7. Proposed Start Date mm/yyyy	8. Actual Start Date mm/yyyy (Static Field)	9. Proposed End Date mm/yyyy	10. Actual End Date mm/yyyy (Static Field)	11. Proposed High Level Milestones Further Described in Application
			6a Direct Component Contribution	6b. Other RESTORE Act Contribution	6c. Other Third Party Contribution	6d. Total Project Budget					
Restoration or protection of the coastal wetlands of the Gulf Coast Region	1.1 Marsh Preserve Wetland Restoration		$ 300,000			$ 300,000	9/2014		10/2016		• Procurement • Design • Site prep • Planting
Restoration or protection of the coastal wetlands of the Gulf Coast Region	1.2 Canal Swale		$3,100,000	$2,000,000		$ 5,100,000	10/2014		9/2019		• Procurement • Design and engineering • Construction and planting
Restoration or protection of the fisheries and marine habitats of the Gulf Coast Region	1.3 Restoration of Oyster Reef Habitat in Gulf Coast Estuary		$ 60,000		$15,000	$ 75,000	10/2014		12/2015		• Procurement • Acquire materials • Construct reefs in mapped area
Promotion of tourism in the Gulf Coast Region	2.1 River Paddling Trail		$ 40,000		$25,000	$ 65,000	1/2015		6/2015		• Design trail • Secure river access • Install signage
Coastal flood protection and related infrastructure	3.1 Diversion and green infrastructure feasibility study		$ 250,000			$ 250,000	9/2015		10/2016		• Procurement • Review and comment • Receive study
//////////////////////////	12. TOTAL FUNDING FOR BUDGET (refer to Instructions)		12a. $3,750,000	12b. $2,000,000	12c $40,000	12d $5,790,000	////////////////////////

Instructions for Completing the Multiyear Plan Matrix

1.	Cumulative Direct Component Allocation Available For Distribution to Applicant Total amount of funds that Treasury allocated to the applicant under the Direct Component portion of the RESTORE Act Trust Fund.
2	Total Direct Component Allocations Plus Known Funds Not Yet Deposited in Trust Fund All Trust Fund current allocation(s) and not-yet-deposited funds that represent known future allocations from the Trust Fund that the applicant plans to apply to the activities it proposes in its multiyear plan.
3.	Primary Direct Component Eligible Activity List the primary qualifying Direct Component eligible activity from the RESTORE Act: a) Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, and coastal wetlands of the Gulf Coast Region; b) Mitigation of damage to fish, wildlife, and natural resources; c) Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring; d) Workforce development and job creation; e) Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill; f) Infrastructure projects benefitting the economy or ecological resources, including port infrastructure; g) Coastal flood protection and related infrastructure; h) Planning assistance; i) Promotion of tourism in the Gulf Coast Region, including recreational fishing; and j) Promotion of the consumption of seafood harvested from the Gulf Coast Region. The applicant will list any other applicable proposed eligible activities in the Direct Component Federal Financial Assistance Application (A1). (Static Field)
4.	Activity Title is the name provided by the applicant for the activity/project/program (Static Field)
5.	Location - Municipality(ies) lists the municipality(ies) where the project site for an activity in a multiyear plan is located. (Static Field)
6.	Total Funding Resources for Proposed Activity Budget lists total funds the applicant will use for the proposed activity.
6a.	Direct Component Contribution may include both the portion of the allocation issued by Treasury and the not-yet-deposited funds that represent known future allocations from the Trust Fund's Direct Component portion that the applicant plans to use for a proposed activity.
6b.	Other RESTORE Act Contribution includes the total amount of other RESTORE Act funding that the applicant will rely on to complete the proposed activity. For example, the Spill Impact Component (or other RESTORE Act Component) may include both the portion of the current allocation(s) and the not-yet-deposited funds that represent known future allocations from the Trust Fund that the applicant plans to use for a proposed activity. The applicant will list specific RESTORE Act source of funds in the Direct Component Federal Financial Assistance Application.
6c.	Other Third Party Contribution includes in-kind, for profit and nonprofit, state, or local funding that the applicant will rely upon to complete the proposed activity. The applicant will list specific sources of funds in the Direct Component Federal Financial Assistance Application.
6d.	Total Budget is the total amount from all funding sources including: the Direct Component funds allocated by Treasury and the not-yet-deposited funds that represent known future allocations from the Trust Fund's Direct Component; other current RESTORE Act allocation(s) and not-yet-deposited funds that represent known future allocations from the Trust Fund; and other third party funds that the applicant plans to use for a proposed activity.
7.	Proposed Start Date is provided by the applicant.
8.	Actual Start Date is provided by the applicant when the plan is revised, if the project has started. (Static Field)
9.	Proposed End Date is provided by the applicant.

10.	Actual End Date is provided by the applicant when the plan is revised and the project has ended. (Static Field)
11.	Proposed High Level Milestones are provided by the applicant. The applicant will describe in greater detail the milestones in the Direct Component Federal Financial Assistance Application when it seeks funding for a proposed activity; the applicant will periodically report the status of the milestones on the Direct Component Activity Milestones Report.
12.	Estimated Total Funding for Project Budgets
12a.	Total Funding of Direct Component Contributions include both the portion of the allocation issued by Treasury and the not-yet-deposited funds that represent known future allocations from the Trust Fund's Direct Component portion that the applicant plans to use for its proposed activity, which cannot exceed the combined total of allocated and known to-be-allocated funds, for all proposed activities listed on the Matrix.
12b.	Total Funding of All Other RESTORE Act Contributions for all proposed activities listed on the Matrix that may include the other current allocation(s) and not-yet-deposited funds that represent known future allocations from the Trust Fund.
12c.	Total Funding of all Other Third Party Contributions for all proposed activities listed on the Matrix.
12d.	Total Funding for all Proposed Activities' Budgets listed on the Matrix that may include Direct Component; other current allocations and not-yet-deposited funds that represent know future allocations from the Trust Fund; and other third party funds.

4.2 Narrative for Multiyear Plan – If the applicant has a more detailed multiyear plan document, it must be included as an attachment.

A. GENERAL INFORMATION	
Eligible Applicant Name:	
Name and Contact Information of the Person to be contacted (POC) on matters concerning this Multiyear Implementation Plan (multiyear plan):	POC Name: POC Title: POC Email: POC Phone:
B. PROVIDE BRIEF NARRATIVE THAT DEMONSTRATES:	
1. The need, purpose, and objectives for each activity, including a detailed description of each activity.	
2. How the applicant made the multiyear plan available for 45 days for public review and comment, in a manner calculated to obtain broad-based participation from individuals, businesses, Indian tribes, and non-profit organizations, such as through public meetings, presentations in languages other than English, and postings on the Internet. The applicant will need to submit documentation (e.g., a copy of public notices) to demonstrate that it made its multiyear plan available to the public for at least 45 days. In addition, describe how each activity in the plan was adopted after consideration of all meaningful input from the public.	
3. How each activity included in the applicant's multiyear plan matrix is eligible for funding and meets all requirements under the RESTORE Act.	

4. How the applicant will evaluate success of the activities included in the multiyear plan matrix.
5. How the activities included in the multiyear plan matrix were prioritized and the criteria used to establish the priorities.
6. The relationship, if any, between the activities the applicant included in the multiyear plan matrix and other activities funded under the RESTORE Act.

5.0 DIRECT COMPONENT APPLICATION FORMS AND INSTRUCTIONS

Fillable forms will be available at http://www.grantsolutions.gov.

5.1 DIRECT COMPONENT FINANCIAL ASSISTANCE APPLICATION (Attachment to SF-424)

Directions: Complete one of these forms for EACH proposed activity for which the applicant is requesting Direct Component funds.

GENERAL INFORMATION:	
Applicant Name:	
Name and Contact Information of the Person to be contacted on matters concerning this application (POC):	POC Name: POC Title: POC Email: POC Phone:
Proposed Activity Name (from multiyear plan):	

A. STATUTORY QUESTIONS			
1. Qualifying eligible activity: Please check the primary eligible activity in the first column and then all other eligible activities that apply in the second column by placing an 'X" in the column in the row corresponding to the qualifying eligible activity. *This information will assist Treasury when it prepares reports that describe how grantees use Direct Component funds to address their eligible activities.	Select Primary Activity	Select All Others That Apply	Qualifying Eligible Activity
	☐	☐	Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches and coastal wetlands of the Gulf Coast Region
	☐	☐	Mitigation of damage to fish, wildlife and natural resources
	☐	☐	Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring
	☐	☐	Workforce development and job creation
	☐	☐	Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill
	☐	☐	Infrastructure projects benefitting the economy or ecological resources, including port infrastructure
	☐	☐	Coastal flood protection and related infrastructure
	☐	☐	Planning assistance
	☐	☐	Promotion of tourism in the Gulf Coast Region, including recreational fishing
	☐	☐	Promotion of the consumption of seafood harvested from the Gulf Coast Region

2. Was this proposed activity included in any claim for compensation paid out by the Oil Spill Liability Trust Fund after July 6, 2012? **If "Yes," this activity is not eligible for a Direct Component grant.**	Yes ☐	No ☐

3. Location (a) Please provide the actual address for the activity (street address, municipality(ies), county/parish, state, zip code). (If there is no street number, provide the nearest intersection or note boundaries on provided map.) If there is more than one location for the activity, attach a list of the additional locations (street address, municipality(ies), county/parish, state, zip code).	Street address: Municipality(ies): County/parish: State: Zip code:
(b) Applicants must demonstrate that the proposed activity will be carried out in the Gulf Coast Region. An activity is carried out in the Gulf Coast Region when, in the reasonable judgment of the entity applying to Treasury for a grant, each severable part of the activity is primarily designed to restore or protect that geographic area. [Directions: Please describe how the proposed activity will be carried out in the Gulf Coast Region. Please limit the response to no more than two pages. In addition, applicant must attach a map that includes the location that the activity is primarily designed to restore or protect.]	

B. DISCUSSION OF SPECIFIC ACTIVITY ***[PLEASE FOLLOW SPECIFIC DIRECTIONS FOR ACTIVITIES APPROVED PRIOR TO JULY 6, 2012 (Sections 3.1, 3.2 and 3.3 of the Guidance) and NON-FEDERAL SHARE OF ANOTHER FEDERALLY FUNDED ACTIVITY (Section 3.4 of the Guidance)]***
1. Proposed Activity Description
[Directions: Describe the scope of work for the proposed activity. Please limit the response to no more than 5 pages. If the applicant is proposing a program, rather than a project or activity, the applicant must provide specific tasks regarding the program's activities and operations. The applicant must specify whether all program activities will be carried out by the program personnel described in B3. If not, the applicant must specify whether the program will require contracts or subawards to accomplish the proposed scope of work. If using contracts, the applicant must provide details in B5. If subawards, the applicant must provide details in B6. The applicant should contact RESTOREAct@treasury.gov for further guidance prior to combining several small projects or activities into a single program. Treasury will require programmatic reporting on all subawards, and will require the applicant to apply the relevant provisions of the Direct Component Grant Agreement to every subrecipient.]

2. Budget Justification [OMB Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards" at 78 Fed. Reg. 78,590 (December 26, 2013)- Subpart E, Cost Principles]
[Directions: Explain how the overall budget supports the proposed scope of work. Provide specific justification for all that apply: • personnel and fringe (see B3 Key Personnel); • travel including the number of trips and estimated cost per trip; • all equipment greater than $5,000; • supplies including a list of major types of supplies; • contractual costs; • construction costs; and • administrative costs not to exceed 3% of the total award amount (attach a copy of the negotiated indirect cost agreement, if applicable). If other federal or non-federal funds will be used to complete the activity, provide an explanation and total funds by type: • other RESTORE Act funds; • other federal funds; • other state or local funds; • other private funds.]

3. Key personnel
[Directions: Identify key applicant staff who will undertake and complete the activities. Specify the position titles, duties, and responsibilities of each key individual.]

4. Consistency with the Applicant's Multiyear Implementation Plan
[Directions: Discuss how the proposed activity is consistent with the applicant's multiyear plan on file with Treasury, including the specific objectives and outcomes applicant will use to evaluate the activity. The specific objectives will be included in the periodically submitted *Status of Performance Report*.]

5. Possible Material Risks to Implement and Maintain the Proposed Activity
[Directions: Please list the possible material risks, e.g., operational, legal, regulatory, budgetary or ecological risks, with a brief discussion of mitigation strategies that the applicant may need to address in order to implement and/or maintain the proposed activity. (If the applicant addresses ecological risks in the response to question #8, there is no need to repeat them in this question.)

Risk	Mitigation Strategy

6. The Applicant's Selection and Oversight of Contractors, if applicable
[Directions: Indicate if the applicant plans to contract out any work proposed in this application. If so, describe the applicant's contracting strategy, schedule, and plan to effectively monitor and manage contractor performance, by addressing ALL of the following: • The nature of the work to be contracted out, and the expected number of contracts to be awarded; • The applicant's written procurement procedures, the extent to which these comply with procurement standards in OMB's Uniform Guidance (2 C.F.R. § 200.317 - § 200.326); • The applicant's written conflicts of interest/standards of conduct policy; • The applicant's non-discrimination policy; • The expected timeline for issuing a request for proposals (RFP) and making contract awards; • The applicant's plan for monitoring contractor performance and compliance with applicable contract provisions; and • If a contractor already has been selected, provide the name and DUNS number of the contractor.]

7. The Applicant's Selection and Oversight of Subrecipients and Inclusion of Special Provisions relating to Subawards, if applicable.
[Directions: Indicate if the applicant plans to issue subawards for activities proposed in this application. If so, the applicant must provide ALL of the following: • A narrative of how it selected or plans to select any subrecipient, if applicable; • The means by which the applicant will assess each subrecipient's level of risk and monitor each subrecipient's progress, including any required reports; and • If a subrecipient already has been selected, provide the name and DUNS number of the subrecipient. Treasury will require programmatic reporting on all subawards, and will require the applicant to apply the relevant provisions of the Direct Component Grant Agreement to every subrecipient.]

8. Public Input for this Proposed Activity
[Directions: This question provides an opportunity for the applicant to elaborate on its brief discussion of public input regarding this activity in the multiyear plan narrative. Please include additional information regarding public input specific to this activity if applicable.]

9. Best Available Science
[Directions: Only answer this question if the proposed activity is designed to protect or restore natural resources (may apply to any of the eligible activities in Sec 34.201 of the regulations if the activity will protect or restore natural resources). If the activity is not designed to protect or restore natural resources, simply indicate "Not Applicable." Please limit the answer to no more than 5 pages. The RESTORE Act requires activities designed to protect or restore natural resources to be based on the "best available science," which is defined in the Act as science that "(a) maximizes the quality, objectivity, and integrity of information, including statistical information; (b) uses peer-reviewed and publicly available data; and (c) clearly documents risks and uncertainties in the scientific basis for such projects." The applicant proposing an activity designed to protect or restore natural resources must explain its determination that the project is based on the "best available science." In addressing the three-pronged test for best available science, the applicant must cite peer-reviewed, objective, methodologically sound literature sources that support the conclusion that the proposed scope of work is an effective way to achieve the stated objectives, when available. For each literature source cited, the applicant must provide sufficient citations including: • The title • The journal in which the literature source appeared, if applicable • The publication date • The author(s) The applicant must provide: • A summary of the peer-reviewed information that justifies the proposed objectives, including methods used for the proposed activity. If peer-reviewed literature sources are unavailable, the applicant must explicitly state this and provide a brief explanation of the alternative scientific information sources that were used. If the applicant relied on publicly available data, the applicant must cite the source of the data, the date of collection, and the size of the data set. Whenever possible, the applicant should use publicly available data, such as data from the U.S. Census Bureau, U.S. Fish and Wildlife Service, Environmental Protection Agency, National Oceanic and Atmospheric Administration, and other federal agencies. The applicant must provide a link to the publicly available data source used. • A summary of the literature sources' conclusions and any uncertainties or risks in the scientific basis that would apply to the proposed activity, including any that were identified by the public or by a Gulf Coast Ecosystem Restoration Council member. • A summary of how, if the information supporting the proposed activity does not directly pertain to the Gulf Coast Region, the applicant's methods reasonably support and are adaptable to that geographic area. • A summary of an evaluation of uncertainties and risks in achieving the project's best available science objectives over the longer term; e.g., is there an uncertainty or risk that in 5-10 years the project/program will be obsolete or not function as planned given projections of sea level rise or other environmental change such as in freshwater inflows to estuaries?

11. Title Issues, Land Acquisition, and Permits

[Directions: Answer the following items concerning land acquisition, construction, and permits.]

a. Permits

Does the proposed activity require any federal, state, or local permits?
Yes ☐ No ☐

If yes, list local, state, tribal, or federal permits required for this project and the status of the permits:
[If the permits have not been obtained, and the applicant is seeking the permits, work requiring permit may not begin or land may not be purchased until the permits have been issued and received by the applicant.]

b. Land acquisition activities

Will land or interest in land be acquired? Yes ☐ No ☐ If yes, answer questions i-vii:

i. What are the legal rights that will be acquired?
Fee title ☐ Easement ☐ Other (please explain) ☐

ii. If an easement, what is the life of the easement?

iii. What entity will hold title to the land?

iv. What is the total acreage of the proposed property to be acquired (easement or fee title)?

v. Has the applicant obtained a recent, independent appraisal of the property? Yes ☐ No ☐
If yes, attach a copy of the appraisal.

vi. Has the applicant obtained a title opinion or certificate? Yes ☐ No ☐
If yes, attach a copy of the title opinion or certificate.

vii. Attach a signed statement from the seller(s) that he/she is a willing seller and has not been coerced into selling or conveying the property interest.

c. Relocation Assistance

Will the proposed project cause the displacement of any persons, businesses, or farm operations? Yes ☐ No ☐

If yes, explain: the number of displaced persons, including businesses and farm operations; what fair and reasonable relocation payments and advisory services will be provided to any displaced persons; and what provisions will be made to ensure that safe, decent, and sanitary replacement dwellings will be available to such persons within a reasonable period of time prior to displacement.

Treasury RESTORE Act Environmental Checklist

Directions: The following questions will aid the applicant in identifying the environmental laws that may apply to the eligible activity and the environmental documents that may be submitted with the grant application. Treasury will use the submittals to record the Applicant's assertion that it has complied with applicable environmental laws.

FEDERAL LAWS

1.1 NATIONAL ENVIRONMENTAL POLICY ACT (NEPA)
The NEPA of 1969 (42 U.S.C. 4321 et seq.) provides a national policy that encourages "productive and enjoyable harmony between man and his environment; to promote efforts which will prevent or eliminate damage to the environment and biosphere and stimulate the health and welfare of man . . ." The NEPA requires that all federal agencies use a systematic, interdisciplinary approach for protection of the human environment; this approach will ensure the integrated use of the natural and social sciences in any planning and decision-making that may have an impact upon the environment. The NEPA also requires the preparation of a detailed Environmental Impact Statement (EIS) on any major federal action that may have a significant impact on the environment.

1) Will the proposed activity be under the permitting authority of any federal agency?
Yes No

2) Will the proposed activity receive federal assistance (other than RESTORE Act funding)?
Yes No

3) Will the proposed activity be subject to any federal regulatory decision or approval?
Yes No

If the answer to any of these questions is "yes," contact the relevant federal agency or agencies for further guidance on environmental compliance. Additional information concerning NEPA can be found at: http://ceq.hss.doe.gov/nepa/nepanet.htm.

1.2. COASTAL ZONE MANAGEMENT ACT (CZMA)
[Direct Component or Centers of Excellence] grant applications may be subject to the review provisions of Section 307 of the Coastal Zone Management Act (CZMA) and implementing regulations at 15 C.F.R. Part 930. Questions as to the applicability of the CZMA consistency provisions should be directed to the Office of Ocean and Coastal Resource Management/Coastal Services Center within the National Oceanic and Atmospheric Administration. A federal consistency determination or certification may be required from the state coastal zone management program, based on the following questions:

1) Will the proposed activity occur in or near the state designated coastal zone (http://coastalmanagement.noaa.gov/mystate/docs/StateCZBoundaries.pdf)?
Yes No

2) Is the activity likely to have reasonably foreseeable effects on any land or water use or natural resource of the designated coastal zone?
Yes No
If the answer to either of these questions is "yes," contact the State Coastal Zone Management Program ((http://coastalmanagement.noaa.gov/programs/czm.html) for further guidance on federal consistency requirements in your state. Additional information on federal consistency can be found at: http://coastalmanagement.noaa.gov/consistency/welcome.html

1.3 ENDANGERED SPECIES ACT (ESA)
Section 7 consultations may be required if a threatened or endangered species or critical habitat is present.

1) Will the proposed activity occur in proximity to threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the National Marine Fisheries Service (NMFS) (http://www.nmfs.noaa.gov/pr/laws/esa/) or the U.S. Fish and Wildlife Service (USFWS) (http://endangered.fws.gov/)?
Yes No

2) Will the proposed activity potentially affect threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of National Marine Fisheries Service (NMFS)or U.S. Fish and Wildlife Service (USFWS)?
Yes No

If the answer to either of these questions is "yes," contact the regional office of USFWS (http://www.fws.gov/offices/) and/or NMFS (http://www.nmfs.noaa.gov/) to determine if consultation is required. Most consultations are conducted informally with the federal agency or a designated non-federal representative. Non-federal representatives may be involved in the informal consultation process and may request and receive species lists, prepare the biological assessment, and provide information for the formal consultation. However, the USFWS requires the action agency to designate formally the non-federal representative in writing. Moreover, the ultimate responsibility for Section 7 obligations remains with the action agency. Additional information concerning Section 7 consultations can be found in the Endangered Species Act Consultation Handbook at: http://www.fws.gov/policy/m0002.html

1.4 MAGNUSON – STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT (FCMA)
Consultation with the NMFS may be required if Essential Fish Habitat (EFH) is present. The trigger for EFH consultation is a federal agency's determination that an action or proposed action, funded, authorized, or undertaken by that agency may adversely affect EFH.

1) Will the proposed activity occur in proximity to EFH as identified by the nearest Regional Fishery Management Council (http://www.fisherycouncils.org/ and http://www.nmfs.noaa.gov/sfa/management/councils/)
Yes No

2) Will the proposed activity potentially adversely affect EFH?
Yes No

If the answer to either of these questions is "yes," contact the nearest regional office of the NMFS (http://www.nmfs.noaa.gov/) or Regional Fishery Management Council (http://www.fisherycouncils.org/) to determine if consultation is required. Additional information concerning EFH can be found at: http://www.habitat.noaa.gov/index.html. Information about consultations can be found in the Essential Fish Habitat Consultation Guidance at: http://www.habitat.noaa.gov/pdf/efhconsultationguidancev1_1.pdf.

1.5 MARINE MAMMAL PROTECTION ACT (MMPA)
A permit may be required if an activity will result in the "take" of a marine mammal. Taking is defined as "to harass, hunt, capture, or kill, or attempt to harass, hunt, capture, or kill any marine mammal." Permits for most marine mammals are issued by NMFS. Manatees, polar bears, sea otters, walruses, and dugongs, however, are under the jurisdiction of the USFWS.

1) Will the proposed activity occur in proximity to any known marine mammals (http://www.nmfs.noaa.gov/pr/species/mammals)?
Yes No

2) Will the proposed activity likely result in the take of a marine mammal?
Yes No

If the answer to either of these questions is "yes," contact the nearest regional office of NMFS (http://www.nmfs.noaa.gov/) to determine if a permit is required. Additional information concerning marine mammal permits can be found at: http://www.nmfs.noaa.gov/pr/permits/mmpa_permits.htm and http://www.nmfs.noaa.gov/pr/permits/guide.htm.

1.6 CLEAN WATER ACT (CWA)
A separate type of permit is required to dispose of dredge or fill material in the Nation's waters, including wetlands. Authorized by Section 404 of the Act, this permit program is administered by the U.S. Army Corps of Engineers (USACE), subject to and using environmental guidance from the Environmental Protection Agency (EPA). Some types of activities are exempt from permit requirements, including certain farming, ranching, and forestry practices that do not alter the use or character of the land; some construction and maintenance; and activities already regulated by States under other provisions of the Act.

A permit may be required from the USACE for the disposal of dredge or fill material in the nation's waters, including wetlands.

1) Will the proposed activity result in any disposal of dredge or fill material to the nation's waters or wetlands?
Yes No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (http://www.usace.army.mil/Locations.aspx)for further guidance on Section 404 permits.

A Water Quality Certification (Section 401) is required for activities that may result in a discharge into navigable waters, including wetlands, watercourses, and natural or man-made ponds. A National Pollution Discharge Elimination System (NPDES) permit may also be required for such discharges. 1) Will the proposed activity result in any discharge to navigable waters?
Yes No
If the answer to this question is "yes," contact your state water quality agency for additional guidance. Additional information concerning Section 401 or NPDES requirements can be found at: http://www.epa.gov/owow/wetlands/waterquality and (http://cfpub.epa.gov/npdes/).

1.7 CLEAN AIR ACT (CAA)
Special conditions may be required on projects that could affect air quality.

1) Will the proposed activity result in any direct or indirect emissions within a non-attainment area (http://www.epa.gov/oar/oaqps/greenbk/index.html)?
Yes No

If the answer to this question is "yes," contact the nearest state air quality agency (http://www.4cleanair.org) for further guidance on determining conformity with the state implementation plan.

1.8 NATIONAL HISTORIC PRESERVATION ACT (NHPA)
Special conditions may be required on projects that could affect historic resources.

1) Will the proposed activity occur near property listed or eligible for listing in the National Register of Historic Places (http://www.cr.nps.gov/nr), or near property otherwise protected by section 106 of the National Historic Preservation Act (http://www.nps.gov/history/local-law/nhpa1966.htm) or a similar State Preservation Act?
Yes No

If the answer to this question is "yes," contact the U.S. Advisory Council on Historic Preservation (http://www.achp.gov), or your state historic preservation office (http://www.ncshpo.org/) for further guidance concerning compliance requirements.

1.9 COASTAL BARRIER RESOURCE ACT (CBRA)
Federal funding may be prohibited for projects that occur on certain designated coastal barriers.

1) Is the proposed activity located on an undeveloped coastal barrier designated by the Coastal Barriers Resources Act (http://www.fws.gov/cbra/)?
Yes No

If the answer to this question is "yes," contact the nearest Regional Office of USFWS (http://www.fws.gov/where) for further guidance.

1.10 RIVERS AND HARBORS ACT
A permit may be required from the USACE if the proposed activity involves any work in, over, or under navigable waters of the United States.

1) Will the proposed activity involve any work (including structures) that will occur in, over or under navigable waters of the United States?
Yes No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (http://www.usace.army.mil/Locations.aspx) for further guidance on Section 10 permits. The USACE can authorize activities by a standard individual permit, letter-of-permission, nationwide permit, or regional permit. The USACE will make the determination on what type of permit is needed.

1.11 RESOURCE CONSERVATION AND RECOVERY ACT (RCRA)
A RCRA permit may be required from the EPA or designated state agency for the long-term storage, treatment, or disposal of hazardous materials or petroleum products.

1) Will the proposed activity include the long-term storage of hazardous materials or petroleum products?
Yes No

If the answer to this question is "yes," contact the nearest RCRA Regional Office of the EPA or state authorized agency (http://www.epa.gov/epawaste/inforesources/online/index.htm) for further guidance on RCRA compliance.

1.12 COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA)
Special provisions and requirements may apply if the proposed activity involves a Superfund site (http://www.epa.gov/superfund/sites/index.htm).

1) Will the proposed activity involve a known Superfund site?

Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the EPA (http://www2.epa.gov/aboutepa#pane-4) for further guidance on CERCLA requirements.

1.13 WILD AND SCENIC RIVERS ACT
The Wild and Scenic Rivers Act prohibits federal support for actions such as the construction of dams or other instream activities that would harm the free-flowing condition, water quality, or outstanding resource values of a designated Wild and Scenic River. There are designated rivers in the Gulf Coast States.

1) Is the proposed activity located on a designated Wild and Scenic River (http://www.rivers.gov/index.php)?
Yes No

If the answer to this question is "yes" contact contact the nearest Regional Office of the USFWS (http://www.fws.gov/where) for further guidance.

1.14 SAFE DRINKING WATER ACT
A permit may be required if the proposed activity will involve underground injection which may impact drinking water sources.

1) Will the proposed activity involve underground injection which may impact drinking water sources?
Yes No

If the answer to the question is "yes," contact the nearest state drinking water or underground injection control program. For more information see: http://water.epa.gov/lawsregs/guidance/sdwa/.

EXECUTIVE ORDERS

Executive Orders are directives from the President of the United States to federal agencies and officials.

2.1 E.O. 11988 – FLOODPLAIN MANAGEMENT
This Executive Order requires that an eight-step process be followed for projects that may have potential impacts to or within floodplains.

1) Is the proposed activity located in a designated floodway or "V-zone" on a National Flood Insurance Program map: (http://msc.fema.gov/webapp/wcs/stores/servlet/FemaWelcomeView?storeId=10001&catalogId=10001&langId=-1)?
Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the Federal Emergency Management Agency (http://www.fema.gov/regional-operations) for further guidance.

2.2 E.O. 11990 – WETLAND PROTECTION
This Executive Order requires agencies to minimize the destruction, loss, or degradation of wetlands, and to preserve and enhance the natural beneficial values of wetlands.

1) Is any portion of the proposed activity in wetlands?
Yes No

If the answer to this question is "yes," provide documentation in the grant application demonstrating that: (1) there is no practicable alternative, and (2) the proposed activity includes all practicable measures to minimize harm to wetlands.

2.3 E.O. 12898 – ENVIRONMENTAL JUSTICE
This Executive Order requires that "each federal agency shall make achieving environmental justice part of its mission by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of its programs, policies, and activities on minority populations and low-income populations."

1) Will the proposed activity have disproportionately high and adverse human health or environmental effects on minority

or low-income populations?
Yes No

If the answer to this question is "yes," see the Council on Environmental Quality website for further guidance on Environmental Justice: http://ceq.hss.doe.gov/nepa_information/justice.html.

2.4 E.O. 13089 – CORAL REEF PROTECTION
This Executive Order requires that any actions that are authorized or funded by federal agencies not degrade the condition of coral reef ecosystems. The some of the Gulf Coast States contain coral reef ecosystems and include National Marine Sanctuaries (http://sanctuaries.noaa.gov).

1) Will the proposed activity involve a coral reef ecosystem or National Marine Sanctuary?
Yes No

If the answer to this question is "yes," contact the National Oceanic and Atmospheric Administration Coral Reef Conservation Program (http://www.coralreef.noaa.gov) for further guidance. Additional information regarding Executive Order 13089 can be found at: http://ceq.hss.doe.gov/nepa/regs/eos/eo13089.html.

2.5 E.O. 13112 – INVASIVE SPECIES
This Executive Order requires agencies to prevent the introduction of invasive species and provide for their control.

1) Will the proposed activity have the potential to introduce or cause the spread of an invasive species? For more information on invasive species, see http://www.invasivespeciesinfo.gov/index.shtml.
Yes No

If the answer to this question is "yes," provide documentation demonstrating that the benefits of the activity clearly outweigh the potential harm caused by invasive species, and that all feasible and prudent measures to minimize risk of harm will be taken in conjunction with the actions.

2.6 E.O. 13186 – RESPONSIBILITIES OF FEDERAL AGENCIES TO PROTECT MIGRATORY BIRDS
This Executive Order requires the incorporation and promotion of migratory bird conservation considerations into all agency activities. The Gulf Coast States contain North American migration flyways.
1) Is the proposed activity likely to occur during a time of the year when migrating birds are in the vicinity?
Yes No

If the answer to this question is "yes," contact the nearest Regional Office of the U.S. Fish and Wildlife Service (http://www.fws.gov/where) for further guidance. Additional information regarding Executive Order 13186 can be found at: http://www.fws.gov/migratorybirds.

2.7 E.O. 13653 – PREPARING THE UNITED STATES FOR THE IMPACTS OF CLIMATE CHANGE
This Executive Order requires federal agencies to identify and support smarter, more climate-resilient investments by States, local communities, and tribes, including by providing incentives through agency guidance and grants.

1) Will the proposed activity incorporate elements that promote climate-resilience (e.g., to rising sea levels)?
Yes No

If yes, include a brief description of the climate-resilient elements in the grant application - proposed activity description.

Additional information regarding Executive Order 13563 can be found at: https://sftool.gov/learn/annotation/427/executive-order-13653-preparing-united-states-impacts-climate-change.

6.0 DIRECT COMPONENT ACTIVITY REPORTS

The following two forms must be submitted with the application, and must include target milestones and performance measures that will be tracked throughout the grant period. The applicant must use these forms during the grant period to report to Treasury on progress toward the milestones and peformance targets (see Section 8.0).

6.1 *Direct Component Activity Milestones Report*

RESTORE Act Direct Component Milestones Report — Department of the Treasury OMB Approval No. 1505-0250

Applicant/Grantee:	
Grant Title:	

Please complete Columns B-E and submit with the application. If project was approved before July 6, 2012, please show milestones that have already been met, with the actual date of completion. Columns G-H are to be completed after the eligible activity is initiated.

Column B (Milestone Description): Separate the eligible activity into key discrete actions that need to be completed (e.g., 'issue RFP,' 'start Phase 1 of construction,' 'approve seafood safety advertisement').
Columns C and G (Estimated and Actual Completion Dates): Use 'award + X months' (e.g., 'award + 6 months').
Column D (Contingent on Another Milestone): Note whether the milestone is contingent on one or more other milestones (e.g., 'milestones #1 and #2 must be completed first').
Column E (Percentage of Eligible Activity Completed): Estimate what percentage of the whole eligible activity the milestone comprises (e.g., 5%). Milestones should total 100% of eligible activity.
Column H (Estimated Funds Spent): Estimate the percentage of the total budget spent on completing a given milestone (e.g., 15%). Funds spent on milestones should total 100% of the eligible activity budget.
Columns F and I (Cumulative Percent): These columns will calculate automatically, and will show an error message unless the total respective values in corresponding Columns E and H are 100%.

-A- Milestone Number	-B- Milestone Description	-C- Estimated Completion Date	-D- If milestone is contingent upon completion of another milestone, please specify.	-E- What percentage of the Eligible Activity is estimated to be completed with this milestone?	-F- Cumulative percent completion	-G- Actual Completion Date	-H- Estimated percentage of Award spent on milestone	-I- Cumulative percent spent
1				0%	0%		0%	0%
2				0%	0%		0%	0%
3				0%	0%		0%	0%
4				0%	0%		0%	0%
5				0%	0%		0%	0%
6				0%	0%		0%	0%
7				0%	0%		0%	0%
8				0%	0%		0%	0%
9				0%	0%		0%	0%
10				0%	0%		0%	0%
TOTAL					ERROR!			ERROR!

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, RESTORE Act Program, 1500 Pennsylvania Ave., NW, Washington, DC 20220.

***6.2 RESTORE Act Status of Performance Report* - Fill out the top section of form and "Measure," "Baseline," and "Target" and include with the application.**

TORE Act Status of Performance Report — Department of the Treasury

OMB Approval No. 1505-0250

cant/Grantee:

t Title:

cable Eligible Activities/Disciplines: (For Direct Component grants, enter the applicable number(s) from the list of eligible ities below. For Centers of Excellence grants, enter the applicable number(s) from the list of eligible disciplines below. applicable eligible activity/eligible discipline number(s) should be used as the prefix of the measure numbers. For ple, the measure number 1.2 indicates that it is the second measure relating to the first eligible activity/eligible

ctive(s):

rting Period Ending:

ure #	Measure	Baseline	Target	Target Date (month/ year)	Progress toward target (reporting period)	Progress toward target (cumulative)	Status/Next Steps

t Component Eligible Activities

1	Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, and coastal wetlands of the Gulf Coast region
2	Mitigation of damage to fish, wildlife, and natural resources.
3	Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring.
4	Workforce development and job creation.
5	Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill.
6	Infrastructure projects benefitting the economy or ecological resources, including port infrastructure.
7	Coastal flood protection and related infrastructure.
8	Planning assistance.
9	Promotion of tourism, including recreational fishing
10	Promotion of consumption of seafood

Centers of Excellence Eligible Disciplines

1	Coastal and deltaic sustainability, restoration and protection, including solution and technology that allow citizens to live in a safe and sustainable manner in a coastal delta in the Gulf Coast Region
2	Coastal fisheries and wildlife ecosystem research and monitoring in the Gulf Coa Region
3	Offshore energy development, including research and technology to improve the sustainable and safe development of energy resources in the Gulf of Mexico
4	Sustainable and resilient growth, economic and commercial development in the Coast Region.
5	Comprehensive observation, monitoring, and mapping of the Gulf of Mexico

rding to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information ction is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data ed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, RESTORE Act Program, 1500 Pennsylvania Ave., NW, Washington, DC 20220.

7.0 DIRECT COMPONENT APPLICANT CERTIFICATIONS

RESTORE Act Direct Component Applicant Certifications
Department of the Treasury

OMB Approval No. 1505-0250

Directions: These certifications are required by federal law and Department of the Treasury (Treasury) regulations to be submitted with each application to Treasury for financial assistance under the RESTORE Act Direct Component. The certifications must be signed by an authorized senior official of the Applicant who can legally bind the entity and has oversight for the administration and use of the Direct Component funds.

A. RESTORE Act Certification

Pursuant to the RESTORE Act, I certify that for any award Agreement resulting from this application:

1. Each activity funded under this Agreement has been primarily designed to restore and protect [select all that are appropriate: the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, or economy] of the Gulf Coast region.
2. Each activity funded under this Agreement is designed to carry out one or more of the eligible activities for the Direct Component.
3. Each activity funded under this Agreement was selected after consideration of all meaningful input from the public, including broad-based participation from individuals, businesses, Indian tribes, and nonprofit organizations, as described in the grant application.
4. Each activity funded under this Agreement that protects or restores natural resources is based on the best available science, as that term is defined in 31 C.F.R. Part 34.
5. This recipient has procedures in place for procuring property and services under this award that are consistent with the procurement standards applying to Federal grants. This recipient will not request funds under this award for any contract unless this certification remains true and accurate.
6. Pursuant to 2 C.F.R. § 200.303, this recipient will establish and maintain effective internal control over any award made based on this application that provides reasonable assurance that this recipient is managing the award in compliance with Federal statutes, regulations, and the terms and conditions of the award. No material deficiencies in this recipient's internal controls are known.
7. A conflict of interest policy consistent with 2 C.F.R. § 200.318(c) is in effect and covering each activity funded under this Agreement.
8. This recipient will comply with Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, and all other applicable federal laws and regulations concerning anti-discrimination.

I make each of these certifications based on my personal knowledge and belief after reasonable and diligent inquiry, and I affirm that this Applicant maintains written documentation sufficient to support each certification made above, and that this Applicant's compliance with each of these certifications is a condition of this Applicant's initial and continuing receipt and use of the funds provided under this Agreement.

B. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions

Instructions: The inability of an applicant to provide the certification required below will not necessarily result in the denial of participation in this covered transaction. The prospective Applicant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with Treasury's approval of the proposed Application. However, failure of the Applicant to furnish a certification or an explanation shall disqualify such person/entity from participation in this transaction. Please be advised of the following:

1. This certification is a material representation of fact upon which reliance is placed when Treasury determines to enter into this transaction. If it is later determined that the Applicant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.
2. The Applicant shall provide immediate written notice to Treasury if a any time the Applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
3. The terms "covered transactions," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal", and "voluntarily excluded," as used in this clause (certification), have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact Treasury for assistance in obtaining a copy of those regulations (31 C.F.R. Part 19).
4. The Applicant agrees by submitting this Application that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by Treasury.
5. The Applicant further agrees by submitting this Application that it wil include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," to be provided by Treasury, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions (see 31 C.F.R. Part 19, Appendix).
6. A participant in a covered transaction may rely upon a certification o a prospective participant in a lower tier covered transaction that it is no debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under paragraph 4 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended,

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. The time required to complete this information collection is estimated to average 10 hours, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of the time estimate and suggestions for reducing this burden should be directed to the Department of the Treasury, RESTORE Act Program, 1500 Pennsylvania Ave., NW, Washington, DC 20005.

debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.

By signing and submitting this Application, the prospective primary participants (the Applicant) is providing the certification set out below. **The prospective primary participant (the Applicant) certifies to the best of its knowledge and belief, that it and its principals:**

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three-year period preceding this Application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
4. Have not within a three-year period preceding this Application had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the Applicant is unable to certify to any of the statements in this certification, such Applicant shall attach an explanation to this proposal.

C. Certification Regarding Drug-Free Workplace Requirements

The Applicant certifies that it will provide a drug-free workplace by:
1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employee for violations of such prohibition;
2. Establishing a drug-free awareness program to inform employees about:
    a. The dangers of drug abuse in the workplace;
    b. The Applicant's policy of maintaining a drug-free workplace;
    c. Any available drug counseling, rehabilitation, and employee assistance program;
    d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace
3. Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (1) of this certification;
4. Notifying the employee in the statement required by paragraph (1) of this certification that, as a condition of employment in such grant, the employee will:
    a. Abide by the terms of the statement; and
    b. Notify the employer of any criminal drug use statute conviction for a violation occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the granting agency in writing, within ten calendar days after receiving notice of a conviction under paragraph (4)(b) of this certification from an employee or otherwise receiving actual notice of such conviction;
6. Taking one of the following actions, within 30 days of receiving notice under paragraph (4)(b) of this certification, with respect to any employee who is so convicted:
    a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
    b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1 through 6 above.

D. Certification Regarding Lobbying

The Applicant certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The Applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by title 31 U.S. Code section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Signature of Authorized Senior Official:	
Name:	Date:
Title:	Organization:

8.0 DIRECT COMPONENT PERIODIC REPORTING DOCUMENTATION

After receiving an award, all recipients will have access to their grant portfolio in GrantSolutions.gov, where they will be able to manage their grant activities and reporting requirements throughout the performance period of each grant. This will include the ability to submit electronically Post Award Amendment requests, as well as the required Federal Financial Reports (SF-425) and Performance Progress Reports (SF-PPR) and all associated reporting templates. All recipients will be required to file the following reports periodically, as set forth in the Direct Component Standard Terms and Conditions in the recipient's grant agreement executed by the recipient and Treasury (click on the links to view blank forms).

8.1 *SF-425 – Federal Financial Report*

8.2 *SF-PPR – Performance Progress Report*

8.3 Attachment to SF-PPR: *RESTORE Act Status of Performance Report*, based upon the original application (see Section 6.0).

8.4 *Direct Component Activity Milestones Report*, based upon the original application (see Section 6.0)

8.5 If applicable, *SF-424A or SF-424C*, based upon the original application. The Standard Terms and Conditions in the recipient's grant agreement will describe the instances when a recipient must submit an update.

8.6 The Recipient must report subaward data under the Federal Funding Accountability and Transparency Act (FFATA). See http://www.fsrs.gov for details on how and when to file.

In addition, the following forms may be required, depending on the nature of the award or activities undertaken by the recipient. The grant agreement will specify which forms are required:

8.7 *SF-LLL – Disclosure of Lobbying Activities.* See 31 C.F.R. Part 21 for information on who must file.

8.8 *SF-428 – Tangible Personal Property Report*

8.9 *SF-429 – Real Property Status Report*

APPENDIX A - SUMMARY REFERENCE FOR DIRECT COMPONENT APPLICATIONS

1. Planning assistance for development of multiyear plan (submit items 3 – 12 and 19 or 20 if applicable)
2. Multiyear plan (must be on file with Treasury **unless the application is for planning assistance to develop a multiyear plan**)
3. Operational Self-Assessment (must be on file with Treasury prior to award of funds)
4. Form *SF-424 Application for Federal Assistance*
5. Form *SF-424A Budget Information – Non-Construction Programs* OR Form *SF-424C Budget Information for Construction Programs*
6. Form *SF-424B Assurances Non-Construction Projects* OR Form *SF-424D Assurances Construction Projects*
7. A letter from the applicant's highest official designating a senior authorized official who can legally bind the entity to execute the *Direct Component Funding Certification by Authorized Senior Official*
8. Required *Direct Component Applicant Certifications*
9. *Direct Component Financial Assistance Application* including relevant maps and the Treasury RESTORE Act Environmental Checklist
10. Negotiated Indirect Cost Rate Agreement
11. *Direct Component Activity Milestones Report*
12. *RESTORE Act Status of Performance Report*
13. **Construction projects and real property activities only:** A map of the area in which the construction project will be located, with the boundaries of the project site clearly delineated
14. **Construction and real estate acquisition projects only:** A Federal Emergency Management Agency floodplain map of the area in which the construction project will be located with the boundaries of the site clearly delineated
15. **Construction projects and real property activities only:** Documentation relating to title, including recorded easements, and other legal matters arising out of the acquisition or conveyance, such as disclosure of outstanding liens and recorded easements
16. **Real property activities only:** A copy of a recent appraisal completed by a certified appraiser and a statement from the seller that he/she is a willing seller
17. **Non-federal match only:** A copy of the approval letter and grant agreement, or a copy of the applicant's application package and a narrative describing the activity's status and the approximate timeline for the Federal agency to approve or decline the activity
18. **Activities approved prior to July 6, 2012 only:** Documentation showing that the activity was approved prior to July 6, 2012 and other documentation specified in section 3.0
19. **Alabama only:** A certification, signed by the authorized official, that the funding request was approved by majority vote in accordance with 33 U.S.C. §1321(t)(1)(F), and that Alabama is in compliance with 33 U.S.C. §1321(t)(1)(F)(i)(IV).
20. **Louisiana parishes only:** a copy of a signed certification that complies with 31 CFR §34.302(e), pertaining to its comprehensive land use plan